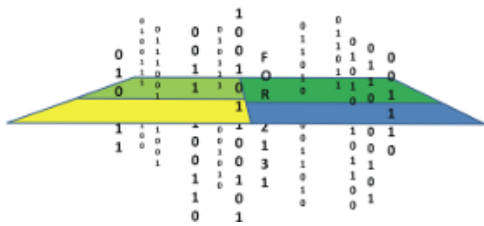


FOR 2131



Coupled data assimilation for atmosphere-land surface-subsurface models

Harrie-Jan Hendricks-Franssen^{1,2}, Wolfgang Kurtz^{1,2}, Hongjuan Zhang^{1,2}, Prabhakar Shrestha³, Dorina Baatz^{1,2}, Clemens Simmer³, Stefan Kollet^{1,2}, and Harry Vereecken^{1,2}

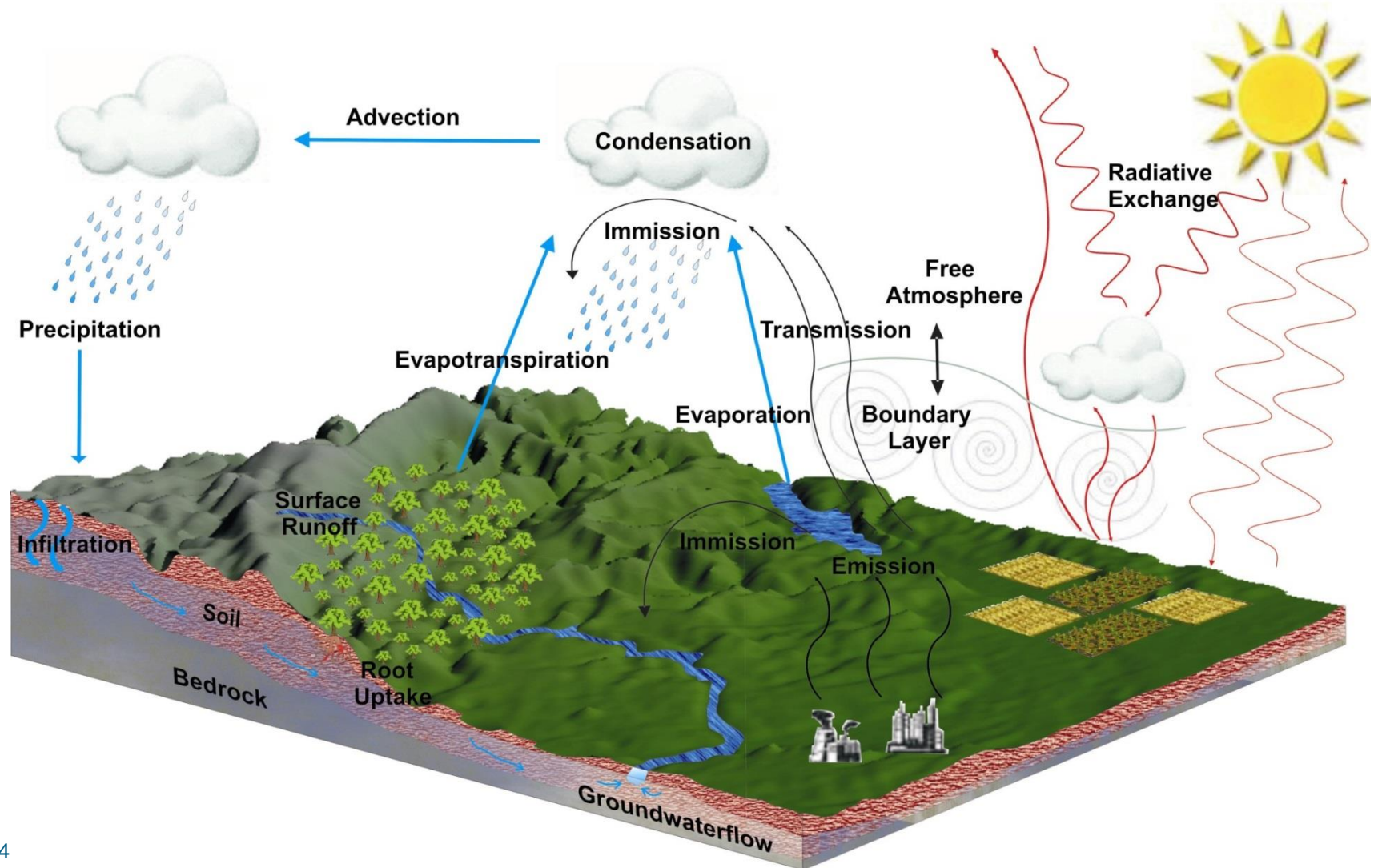
¹ Forschungszentrum Jülich, Agrosphere (IBG 3), Leo-Brandt-Strasse, 52425 Jülich, Germany

² HPSC-TerrSys, Geverbund ABC/J, Jülich, Germany

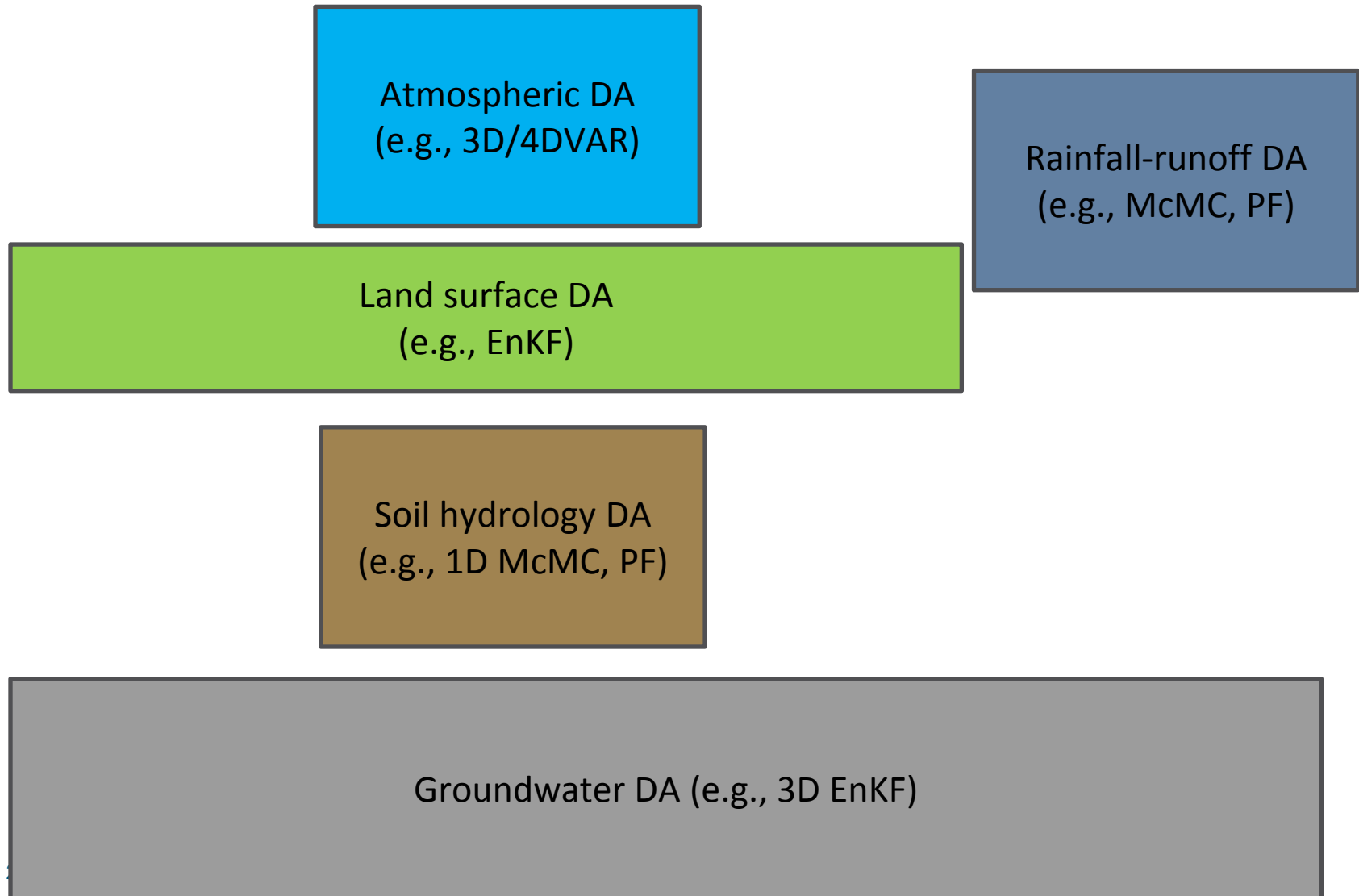
³ Meteorological Institute, Bonn, Germany

- Introduction on coupled data assimilation.
- Coupled atmosphere-land surface- subsurface model TerrSysMP.
- Data assimilation framework TerrSysMP-PDAF.
- Example synthetic and real-world studies.
- Conclusions and outlook.

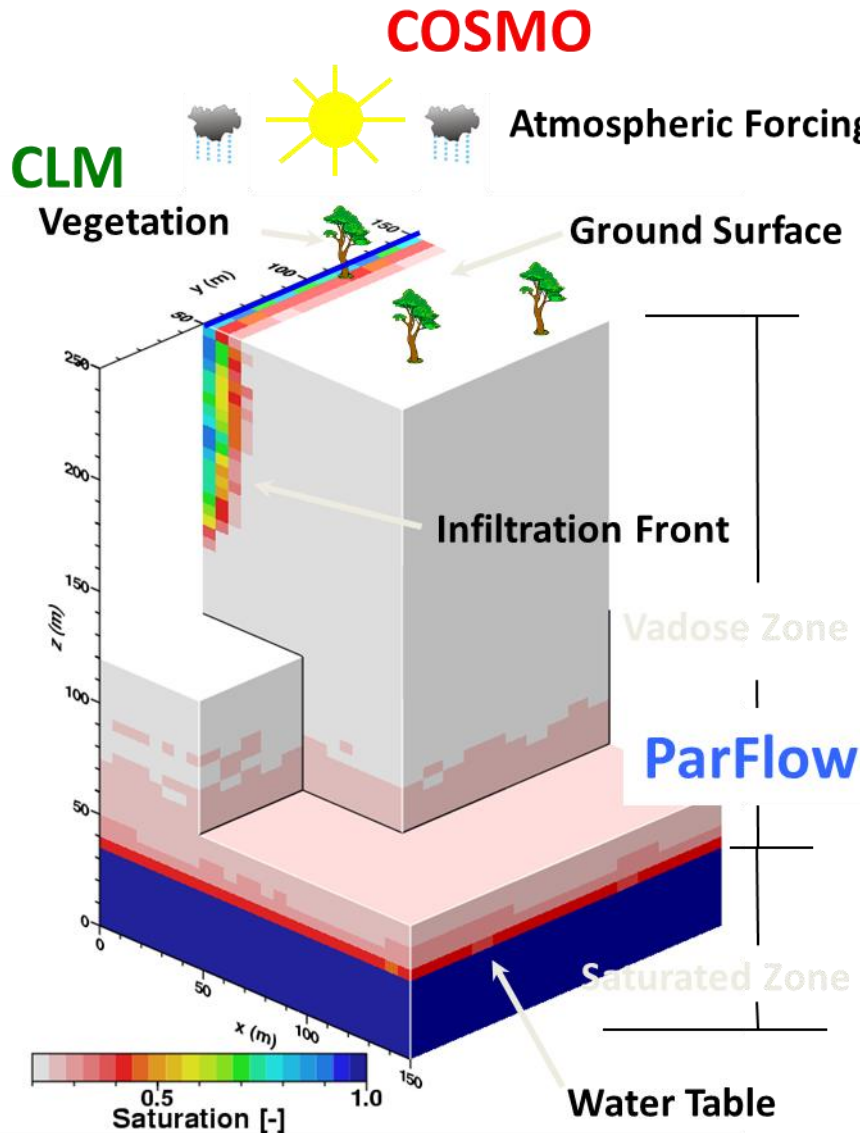
- Non-coupled DA of hydrological cycle.



- Non-coupled DA of hydrological cycle.



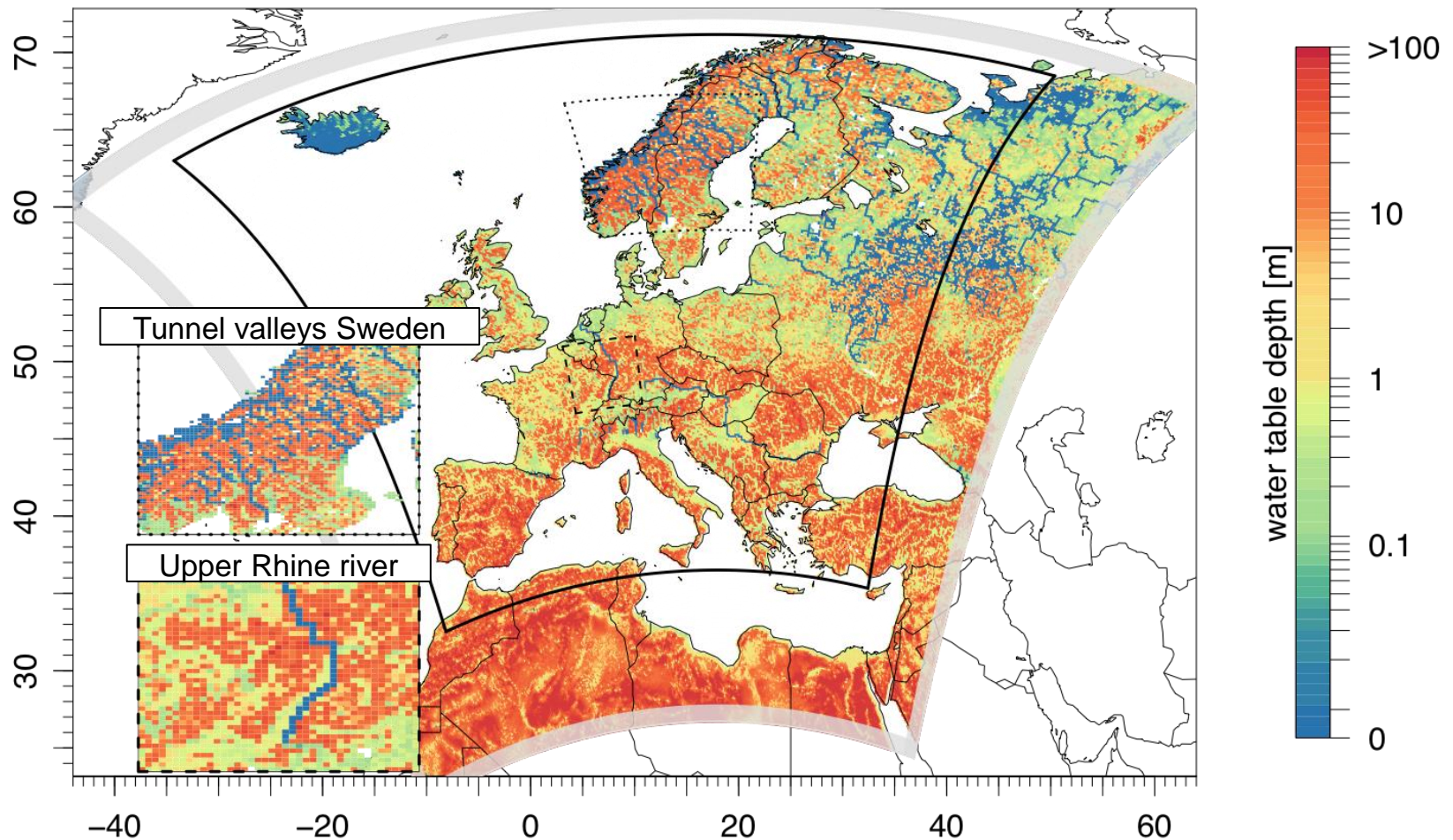
- *Weakly coupled DA*
 - DA for individual compartments of terrestrial system.
 - Covariances between states of different compartments not calculated.
 - Updates for single compartments propagated through coupled model equations
- *Fully coupled DA*
 - DA for multiple compartments of terrestrial system.
 - Covariances between states of different compartments calculated.
 - States of multiple compartments are directly updated by DA.



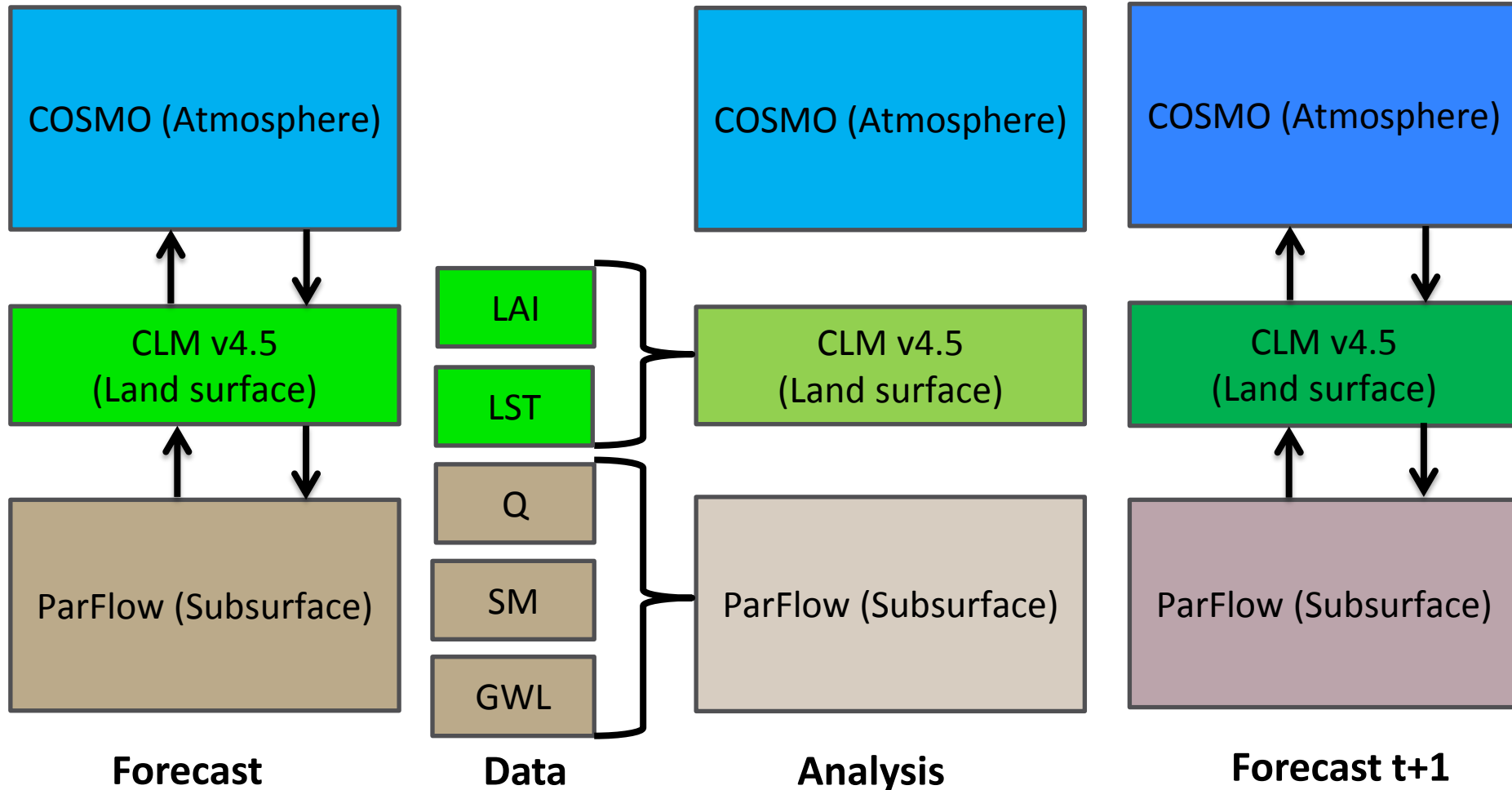
- 3D Variably saturated subsurface flow and energy transport (Jones & Woodward, 2001; Kollet et al., 2009)
- Integrated overland flow, terrain following grid (Kollet & Maxwell, 2006; Maxwell, 2013)
- Integrated land surface and regional climate model (Shrestha et al., 2014)
- External coupling via OASIS3: Multiple Program Multiple Data Execution Model (Shrestha et al., 2014)
- Atmospheric downscaling algorithm (Schomburg et al., 2010)

- Lateral subsurface transport of water and energy via groundwater
- PDE-based description of two-way interactions between groundwater, vadose zone, surface water, vegetation and atmosphere
- Land surface (CLM3.5) component still has large potential to be improved (e.g., beta-function for drought stress, photosynthesis types, plant traits)
- Overland flow process very non-linear → very high spatial resolution needed
- In general, many unknown parameters, initial states and forcings → data assimilation

- Groundwater depth calculated over Europe
- Problem: long spin-ups needed related to slow groundwater dynamics.

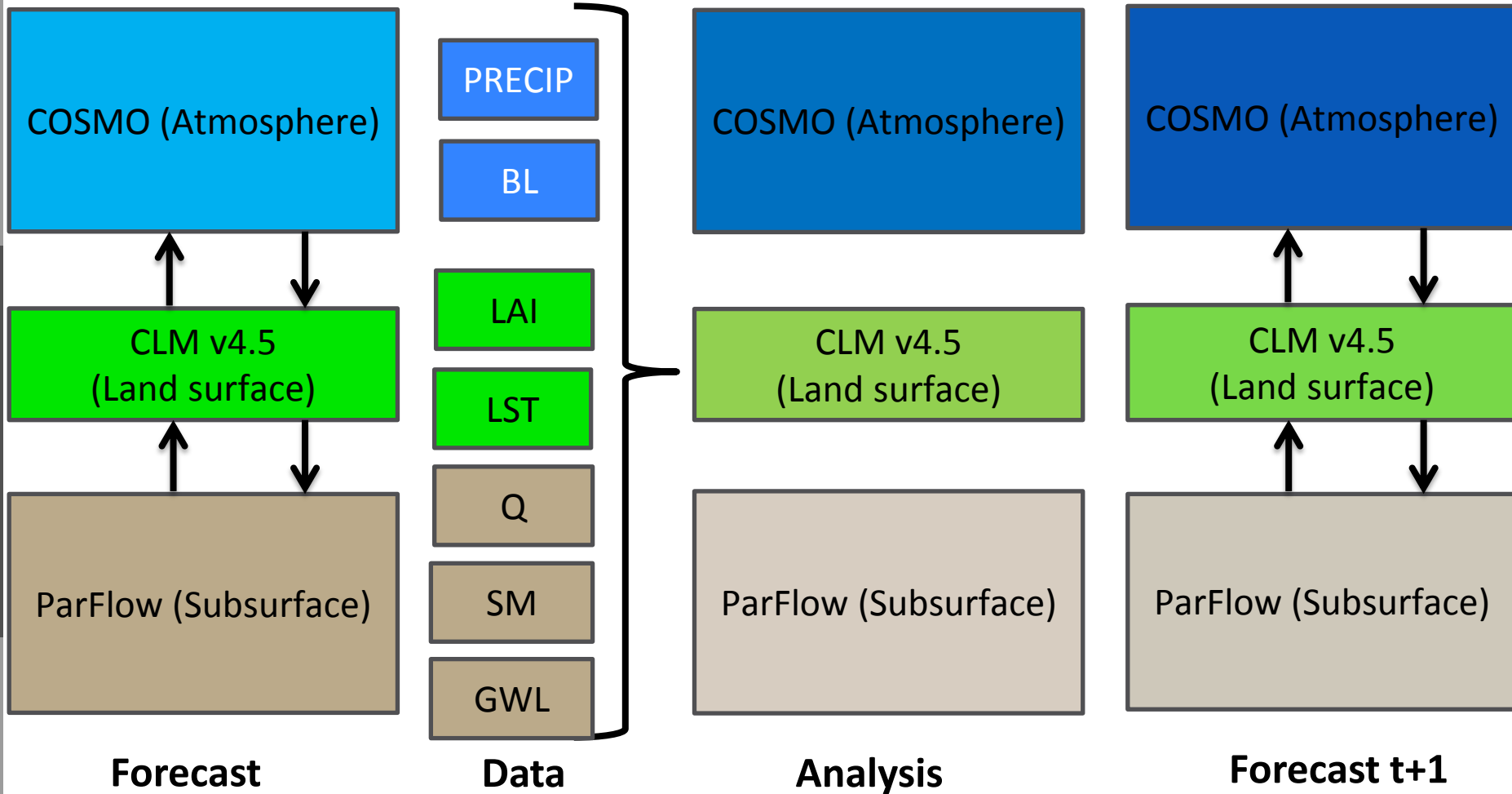


Current work: weakly coupled DA



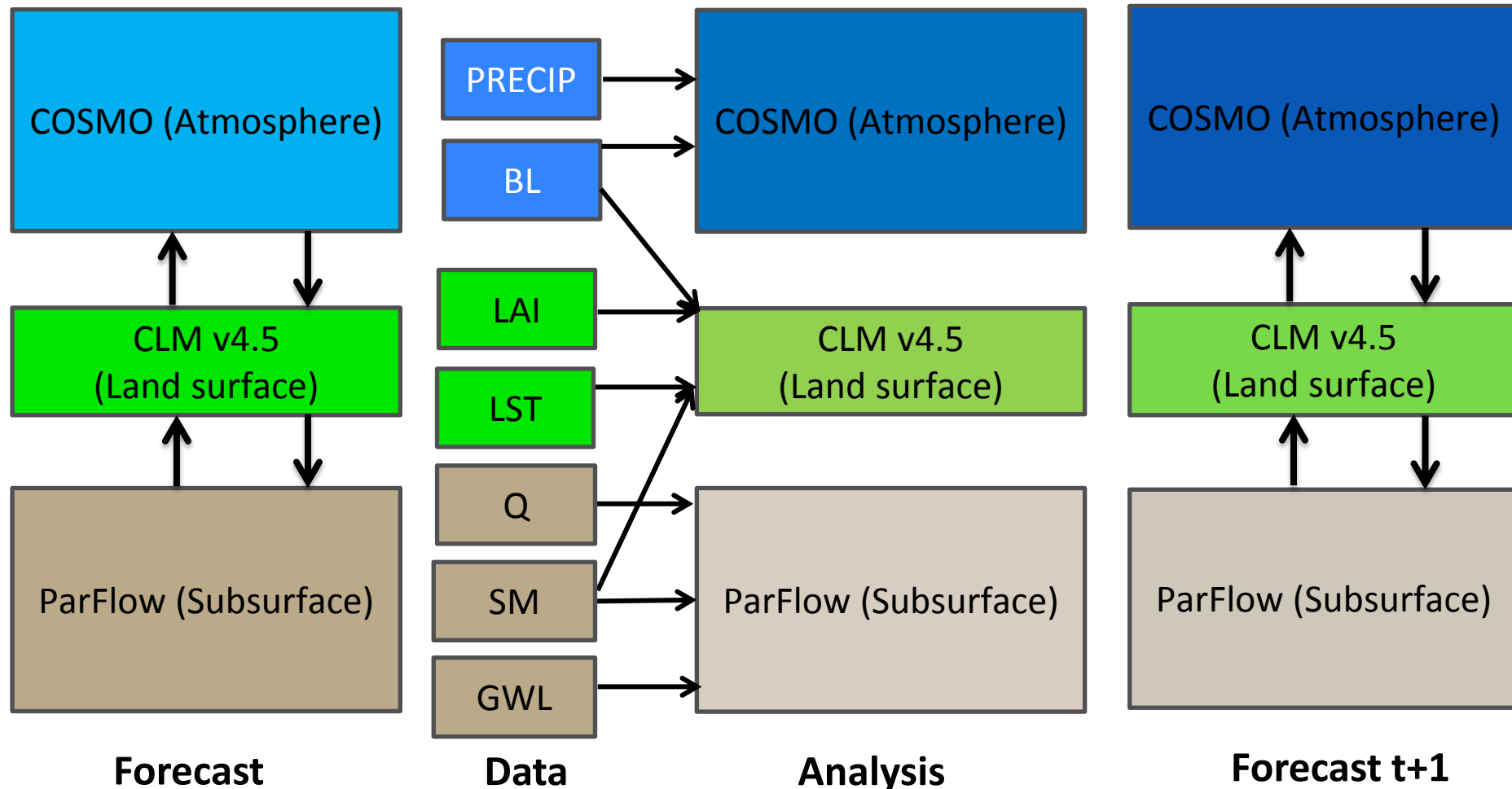
Example: Assimilation of land surface and subsurface data, which only update own compartments and later other compartments.

Towards fully coupled DA?



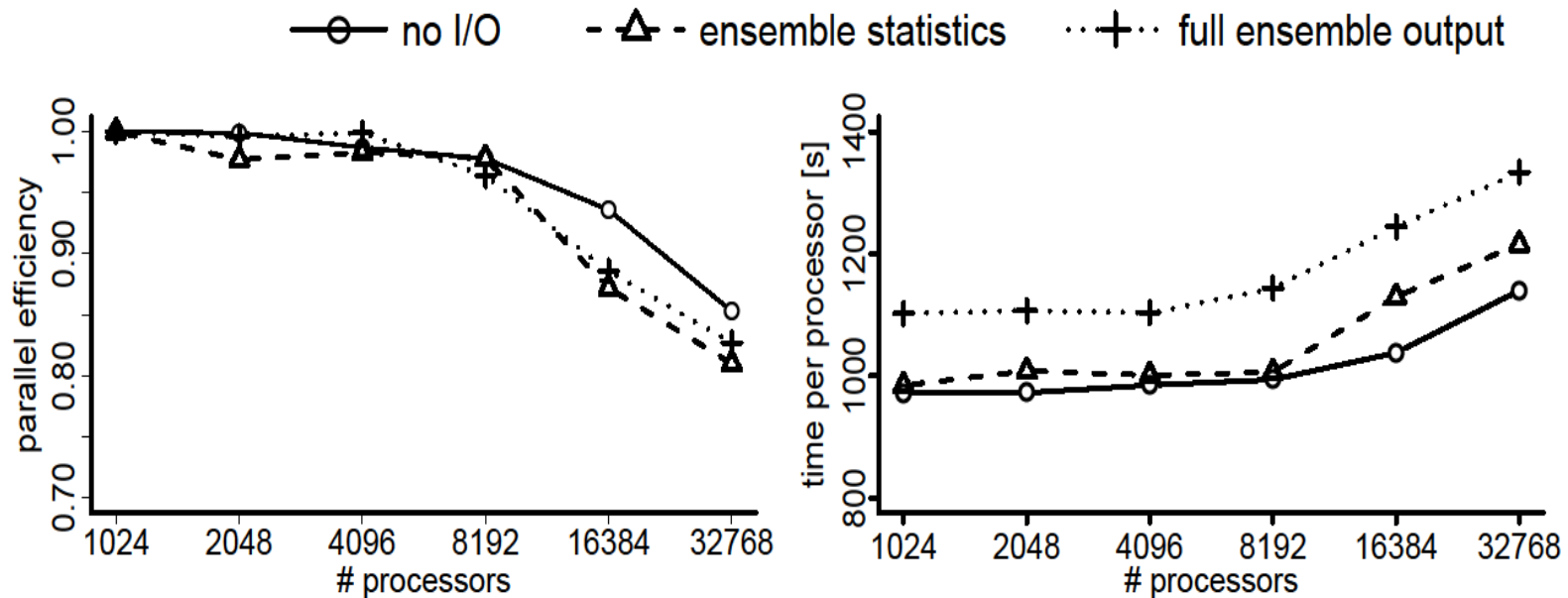
Example: Assimilation of atmospheric, land surface and subsurface data; all of them can update all compartments.

Between weakly and fully coupled DA?



Example: Only some of the measurement data are used to update (sensitive) states in other compartments

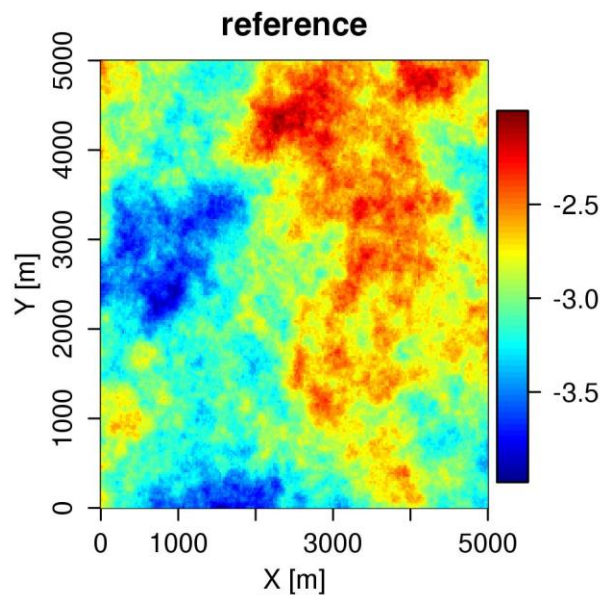
- PDAF (Nerger and Hiller, 2013) was coupled to TerrSysMP
- COSMO, CLM and ParFlow are parallel, DA in addition also parallel
- DA system is fully integrated (no I/O, no model reinitializations)
- Good scalability through effective use of domain decomposition
- Different DA-algorithms activated (EnKF, local EnKF, LETKF)
- Multiscale SM, GW levels and river water levels can be assimilated



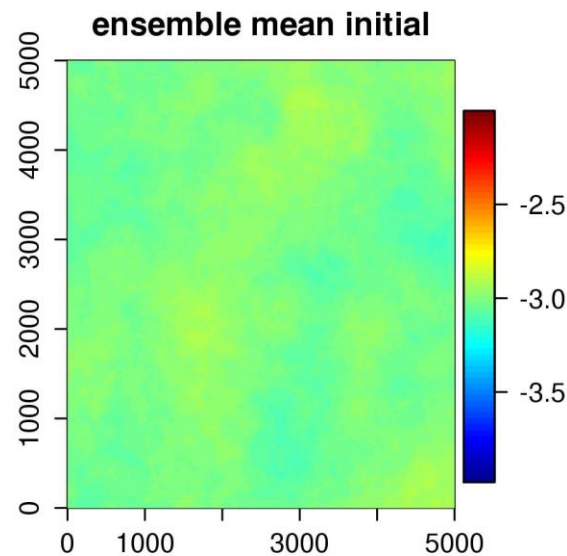
Results feasibility test (synthetic)

In total 2×10^7 states and 2×10^7 parameters are updated with EnKF
(Kurtz et al., 2016, GMD)

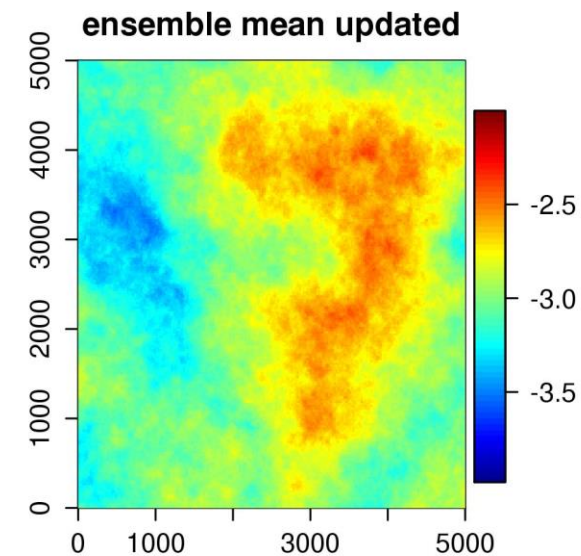
REFERENCE K



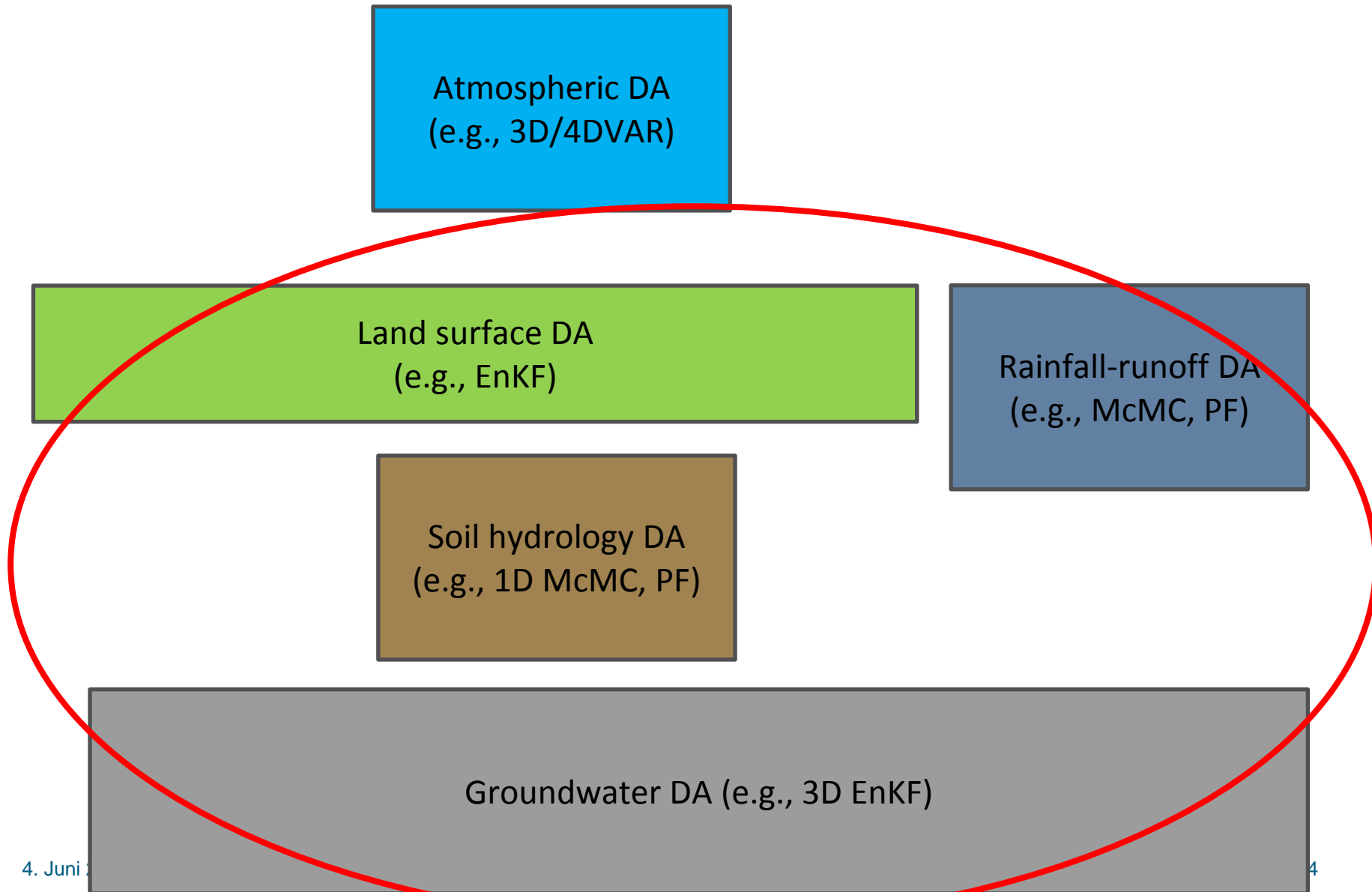
INITIAL K

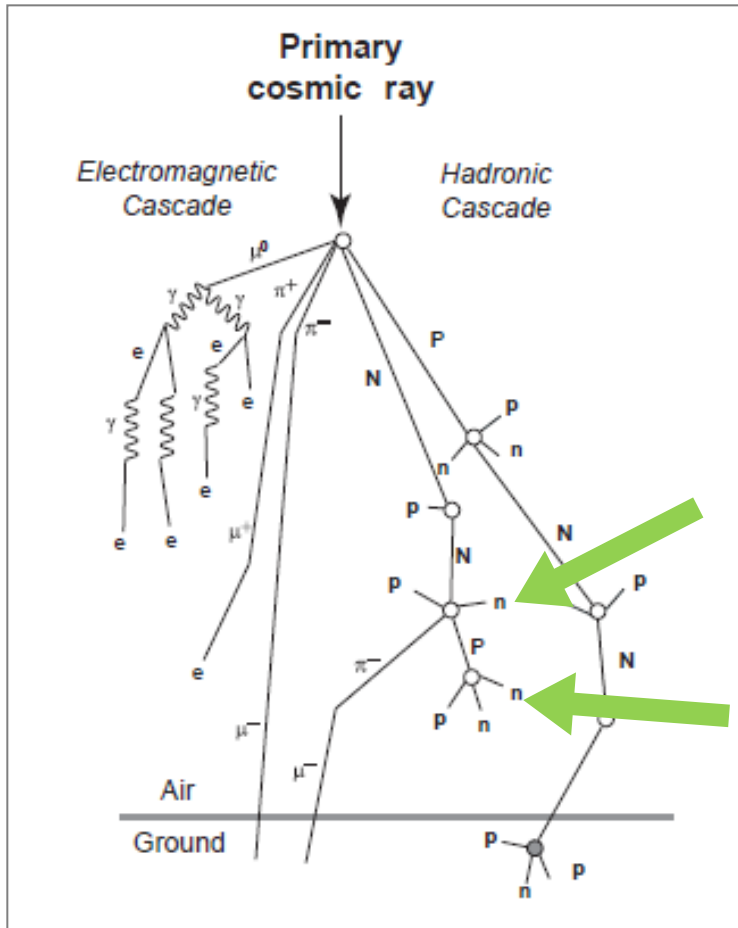


FINAL K



1st Example: Weakly coupled DA





- Primary cosmic rays collide with atomic nuclei
- Creation of secondary cosmic rays with lower energy
- Hydrogen is the most effective neutron absorber

Cosmic ray scattering
(HydroInnova, 2007)

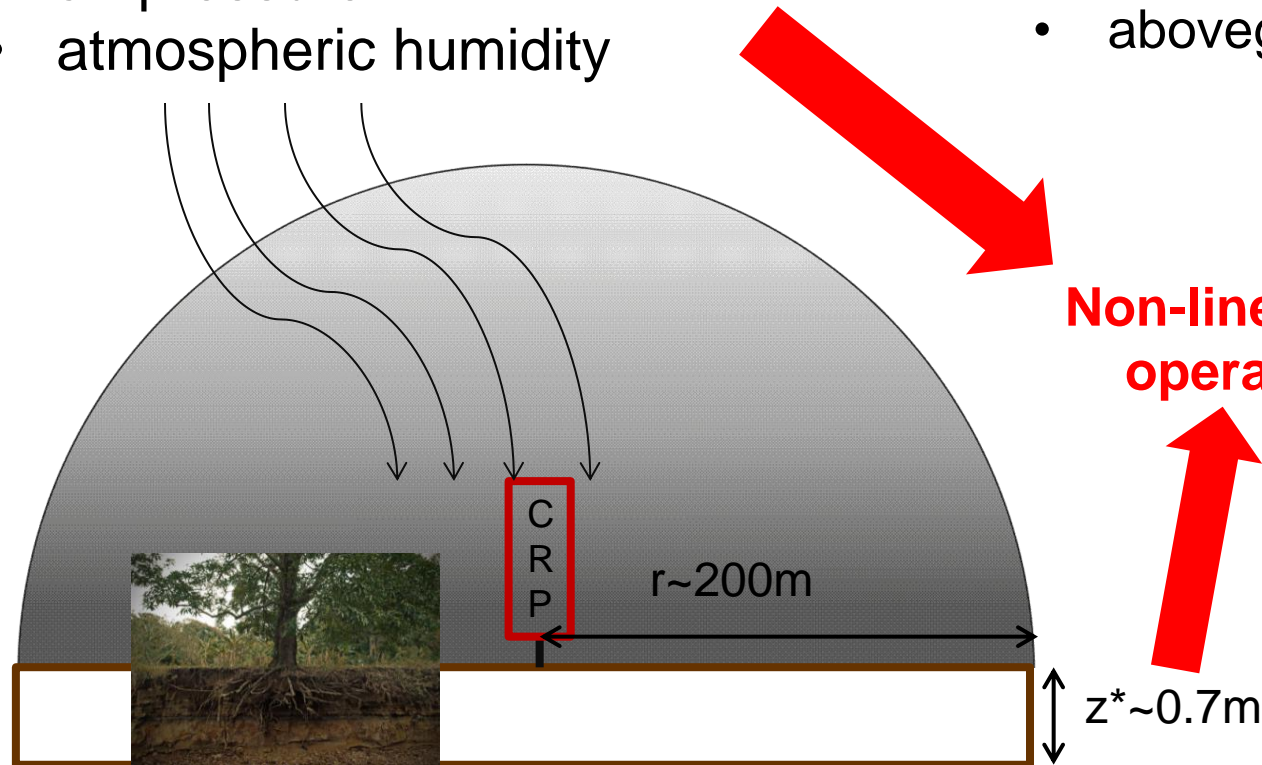


Neutron counts to be corrected for:

- incoming cosmic-ray intensity
- air pressure
- atmospheric humidity

Hydrogen pools include:

- soil water content
- lattice water
- aboveground biomass



Non-linear measurement operator

r - effective radius_{air} ... up to 200 m

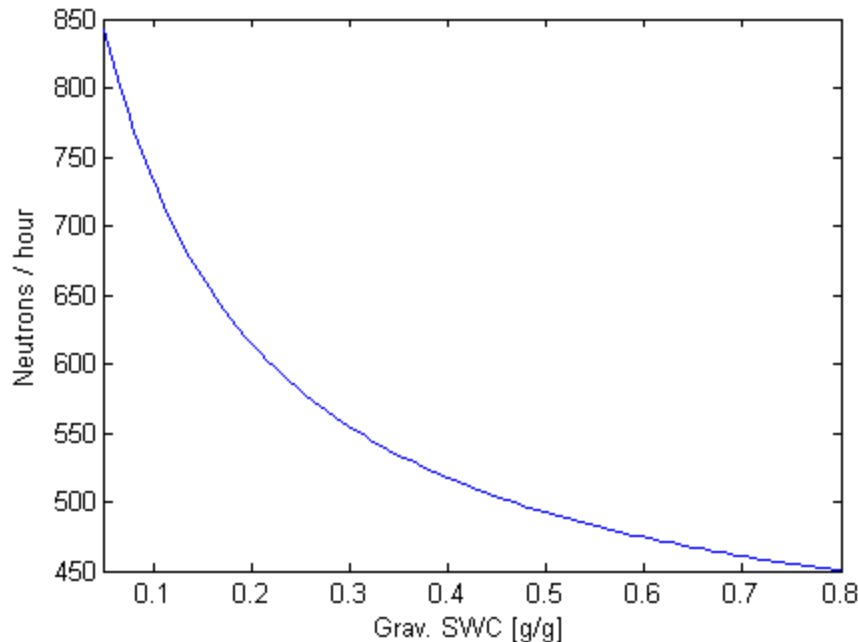
z^* - effective depth_{soil} ... up to 0.7 m



Equation to calculate soil moisture from cosmic ray counts:

$$\theta_{grav} = \frac{a_0}{(N_{corr} / N_0) - a_1} - a_2$$

Θ_{grav} – soil water content [g/g]
 a_0, a_1, a_2 – constants
 N_{corr} – Measured neutrons / hour
 N_0 – Neutron counts under dry soil conditions



Fitting curve with a_0, a_1, a_2

$$a_0 = 0.0808$$

$$a_1 = 0.372$$

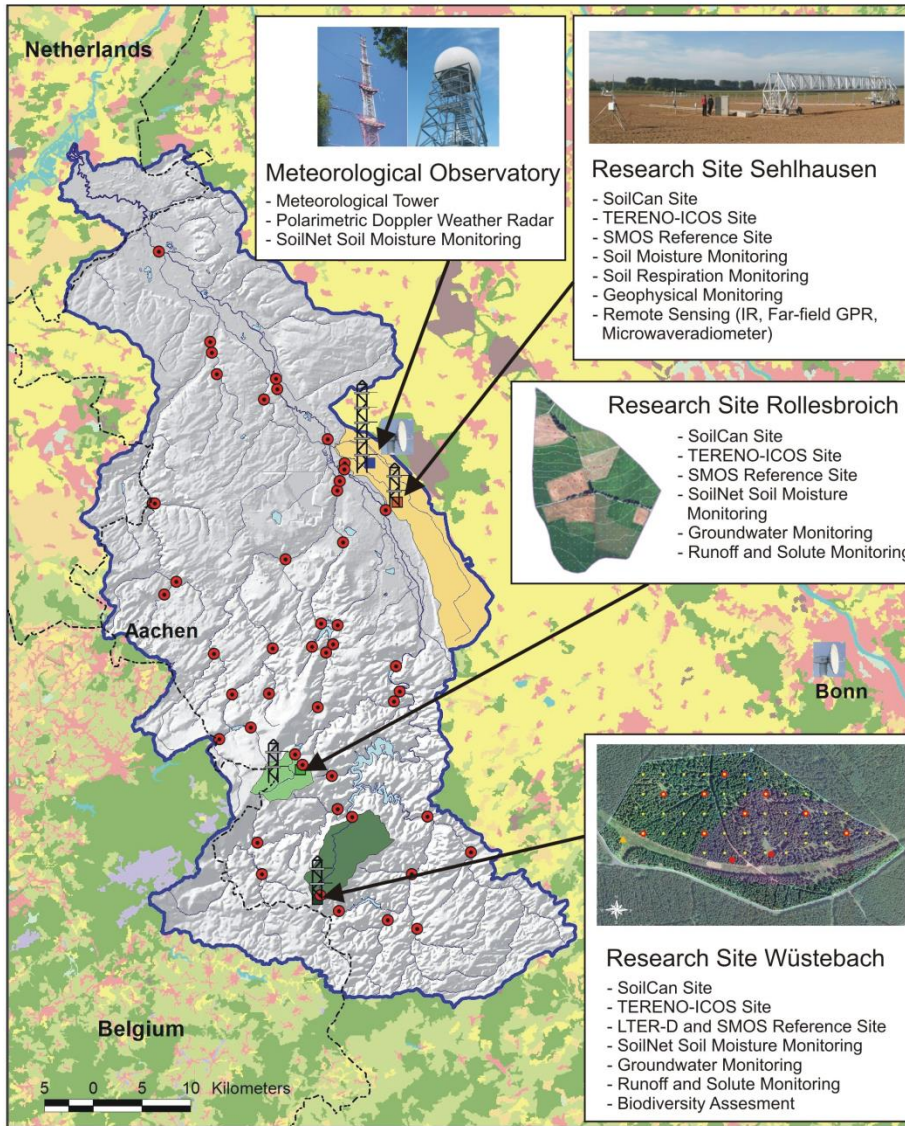
$$a_2 = 0.115$$

and

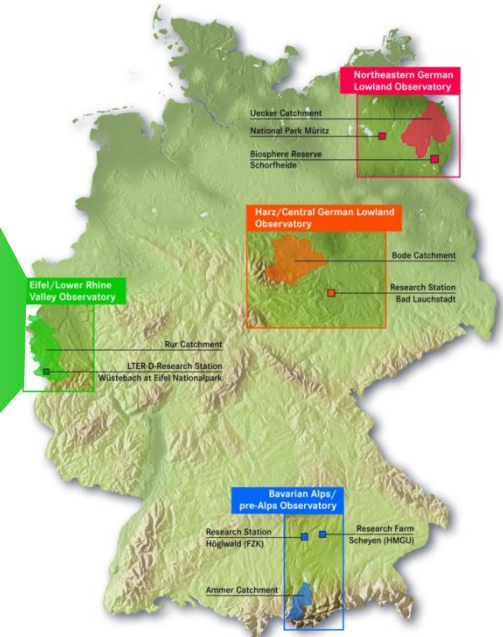
$$N_0 = 1107$$

Ref.: Desilets et al. (2010). Nature's neutron probe: Land surface hydrology at an elusive scale with cosmic rays. Water Resources Research.

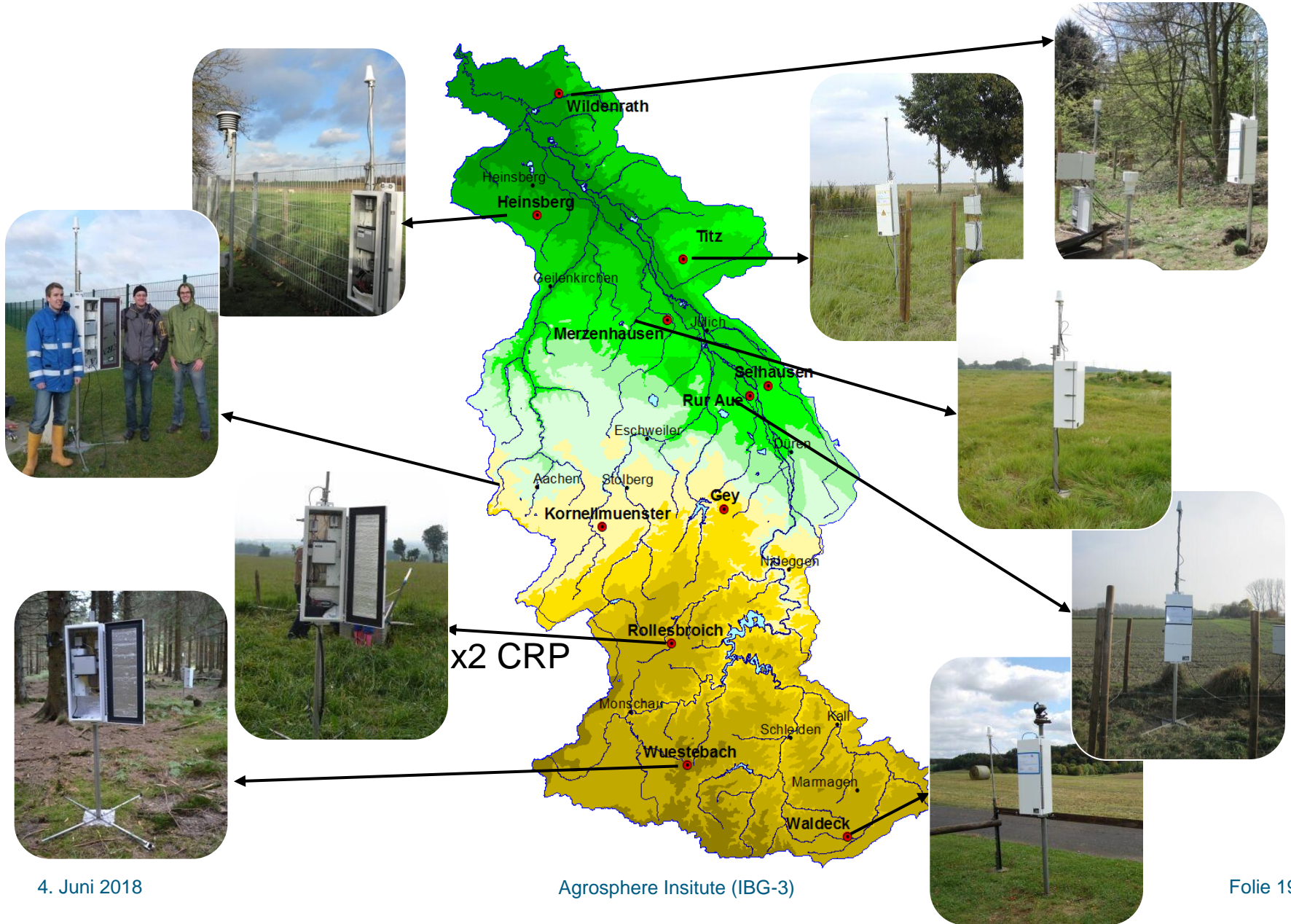
TERENO observatory Rur catchment



- Rur Hydrological Observatory
- Ellebach Subbasin
- Kall Subbasin
- Erkersruhr Subbasin
- Waterbodies
- Runoff gauging station
- Eddy flux tower
- Weather Radar



Cosmic Ray Probe Network Rur catchment



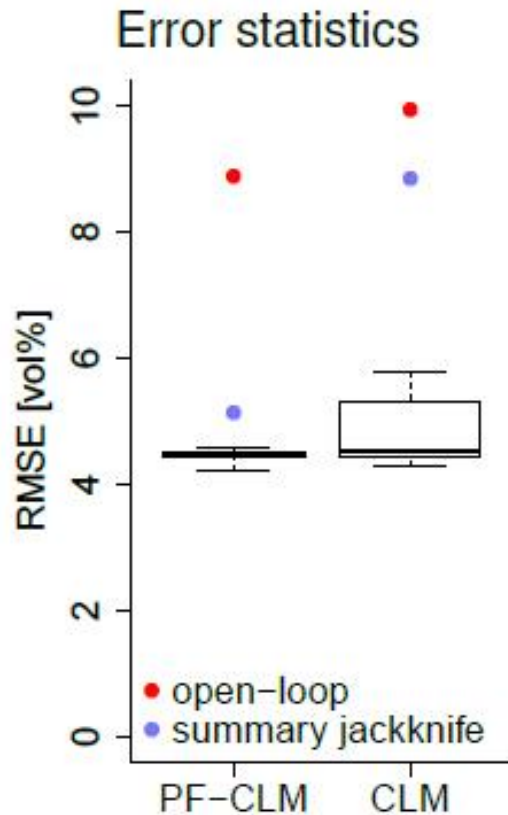
4. Juni 2018

Agrosphere Insitute (IBG-3)

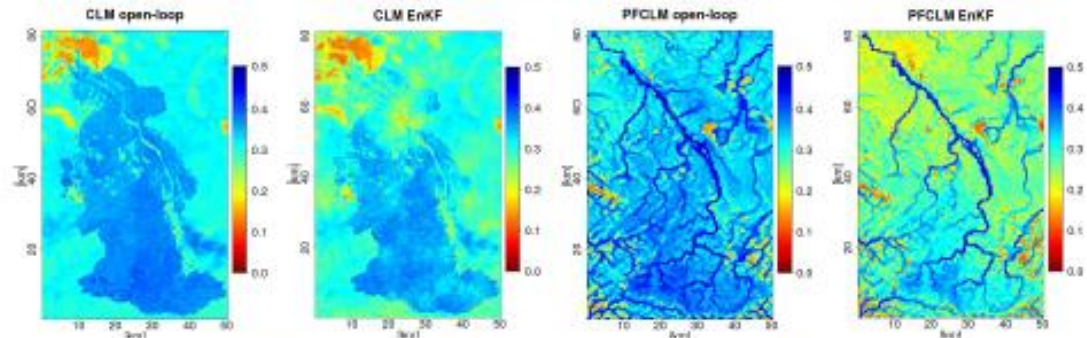
Folie 19

- Test value of cosmic ray probe data measured by cosmic ray probe
- Horizontal model resolution: 500 m (100x162 cells)
- Vertical resolution: 2cm-136 cm, 30 layers (30 m total thickness)
- Vegetation classification from MODIS
- Model forcings from COSMO-DE reanalysis
- Subsurface properties from European Soil data base

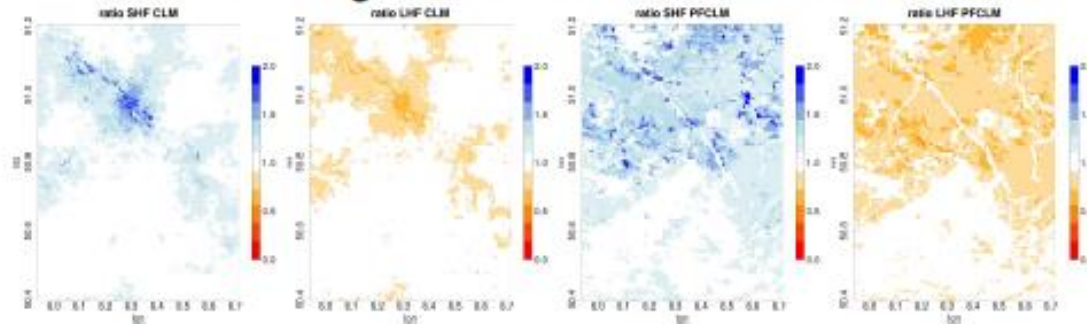
- 128 ensemble members, perturbation of precipitation, incoming short wave and long wave radiation, air temperature and porosity and $\log(K_{\text{sat}})$.
- Assimilation period April – September 2013.
- Assimilation of soil moisture from 8 cosmic ray probes with EnKF.
- Probe left out in assimilation used for verification (jackknife).
- Repeated 9 times (all probes once left out).
- CLM versus ParFlow-CLM assimilation.



Changes in soil moisture

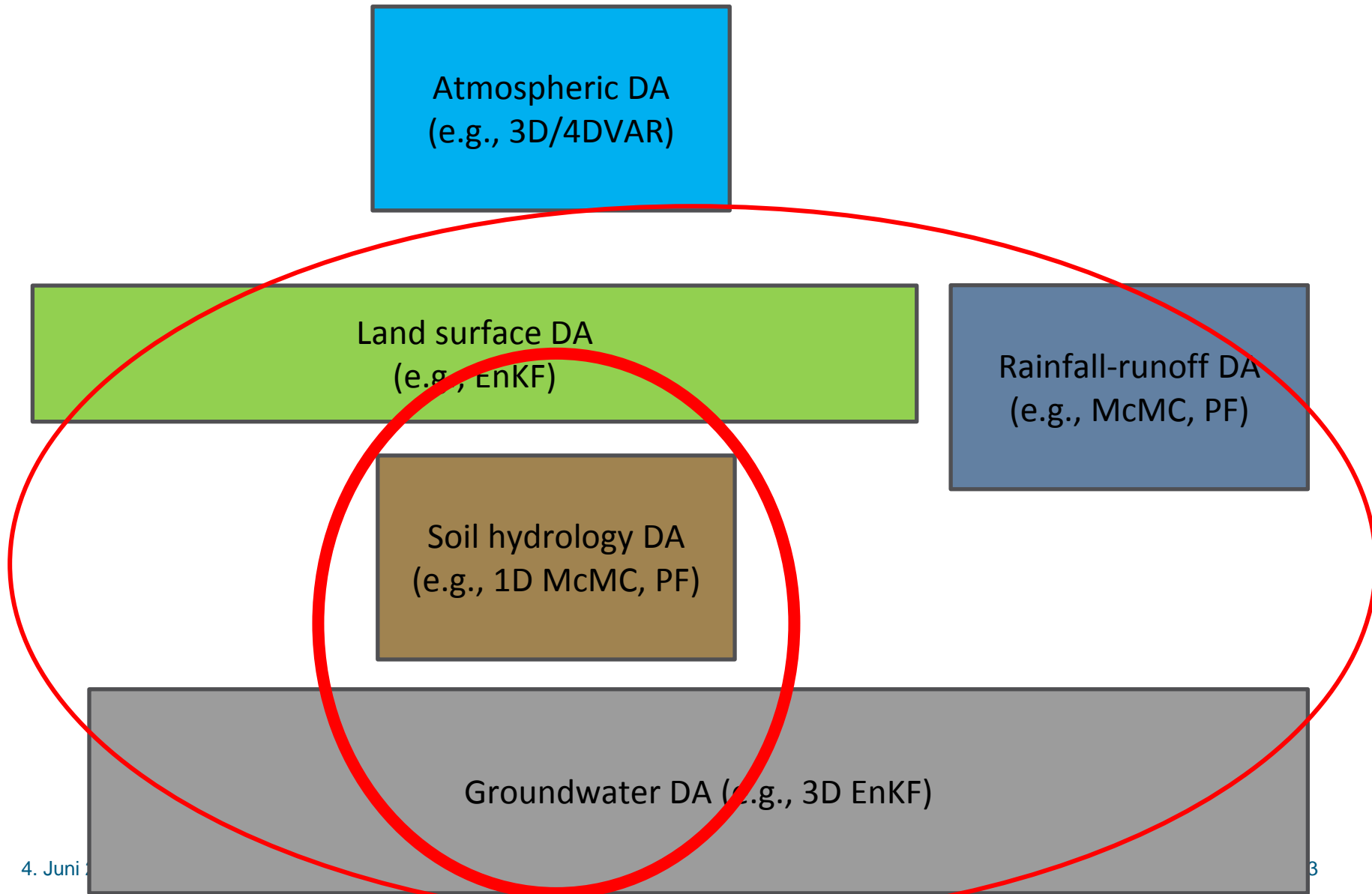


Changes in land surface fluxes



- Assimilation of soil moisture data from cosmic-ray probe network is effective for catchment wide soil moisture characterization
- Subsurface conceptualization affects update of soil moisture data

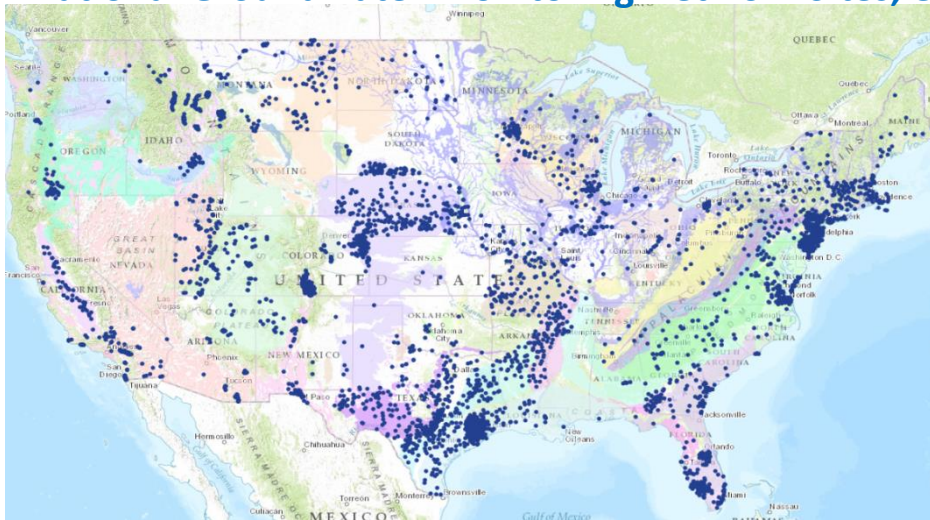
2nd Example: Strongly coupled DA



2nd example: GW-level assimilation

- Soil moisture from satellite: indirect, coarse scale, only upper cm, not reliable over dense vegetation

National Groundwater Monitoring Network Sites, e.g. USA

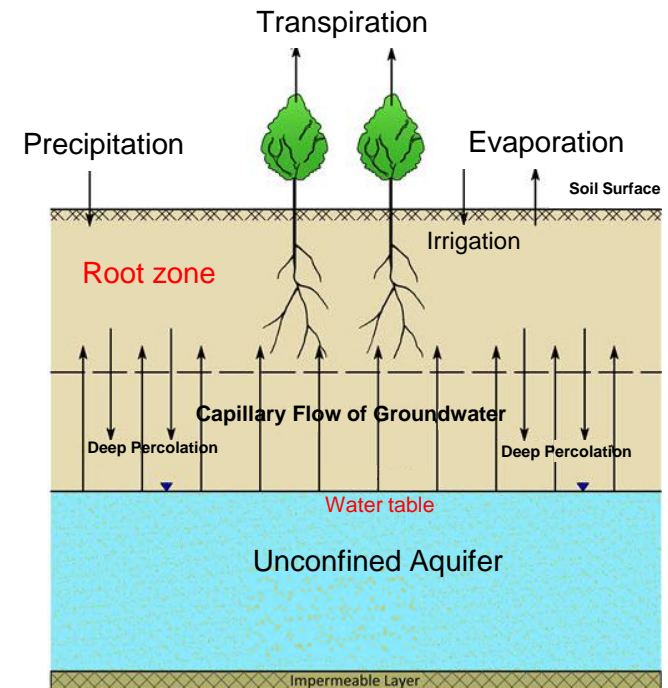


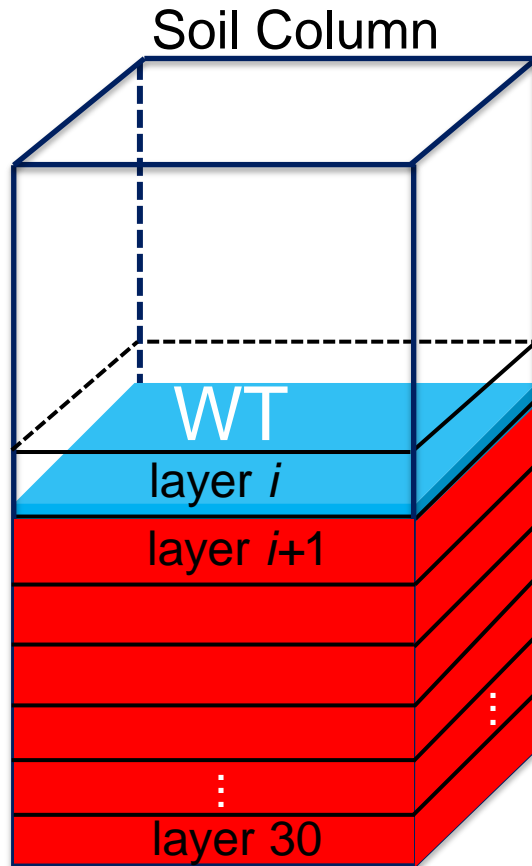
Good data source:

- low cost
- high accuracy
- widely available

May contain valuable information about root zone soil moisture:

- Statistical correlations
- Physically related





State variable: pressure head.

Assimilation of GWL could be done in terms of soil moisture or pressure head:

observation

- pressure head (**P**): pressures in saturated zone (hydrostatic)
- soil moisture (**SM**): porosity in saturated zone

Water Table (WT) is in the i^{th} layer

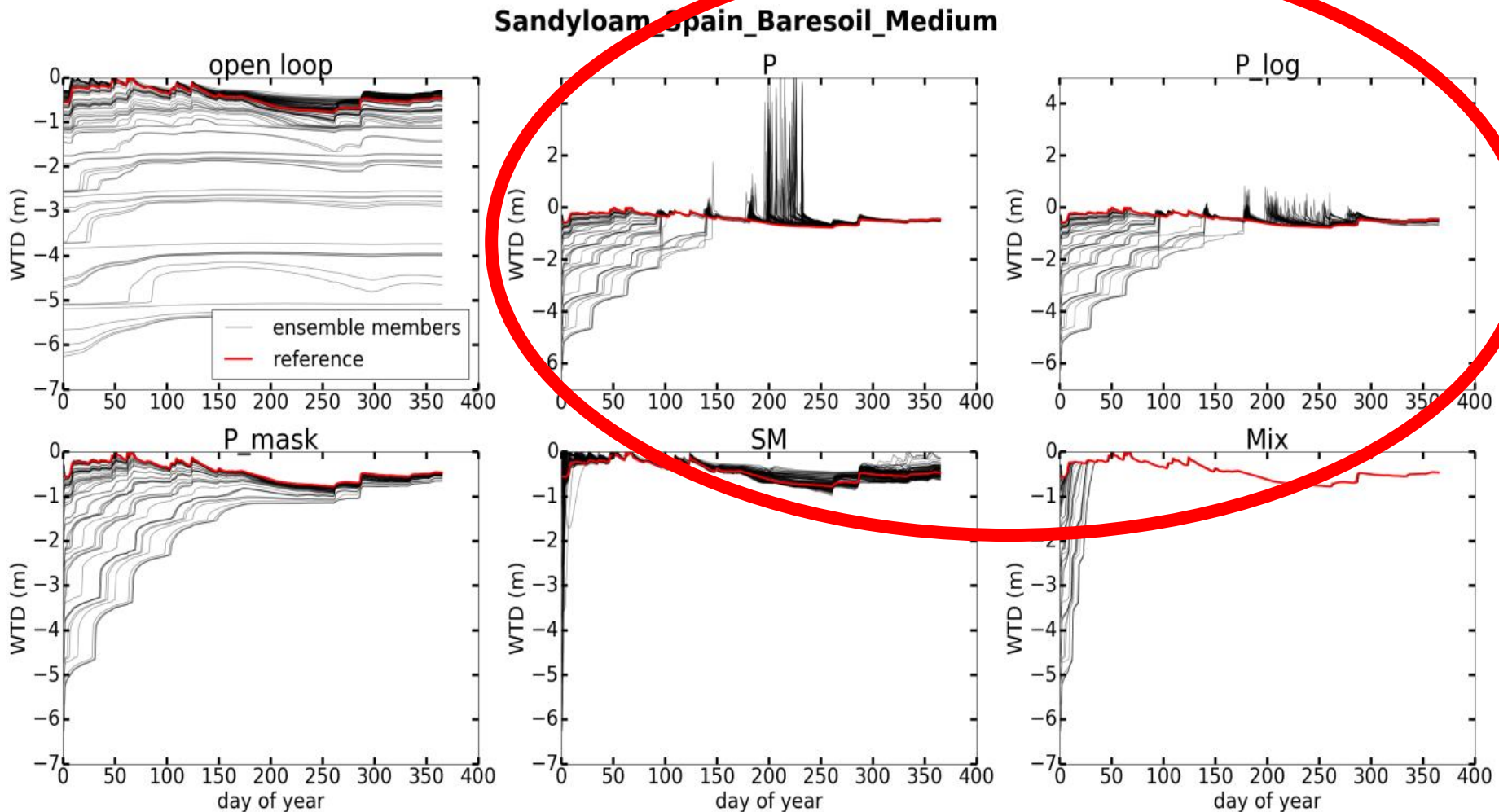
Method	Observation vector	State vector	Updated domain
P	P	P	strongly coupled
P_log	$\log_{10}(100-p)$	$\log_{10}(100-p)$	strongly coupled
P_mask	P	P	weakly coupled
SWC	SWC (=porosity)	SWC (=porosity)	strongly coupled
Mix	P	P (saturated) SWC (unsaturated)	strongly coupled

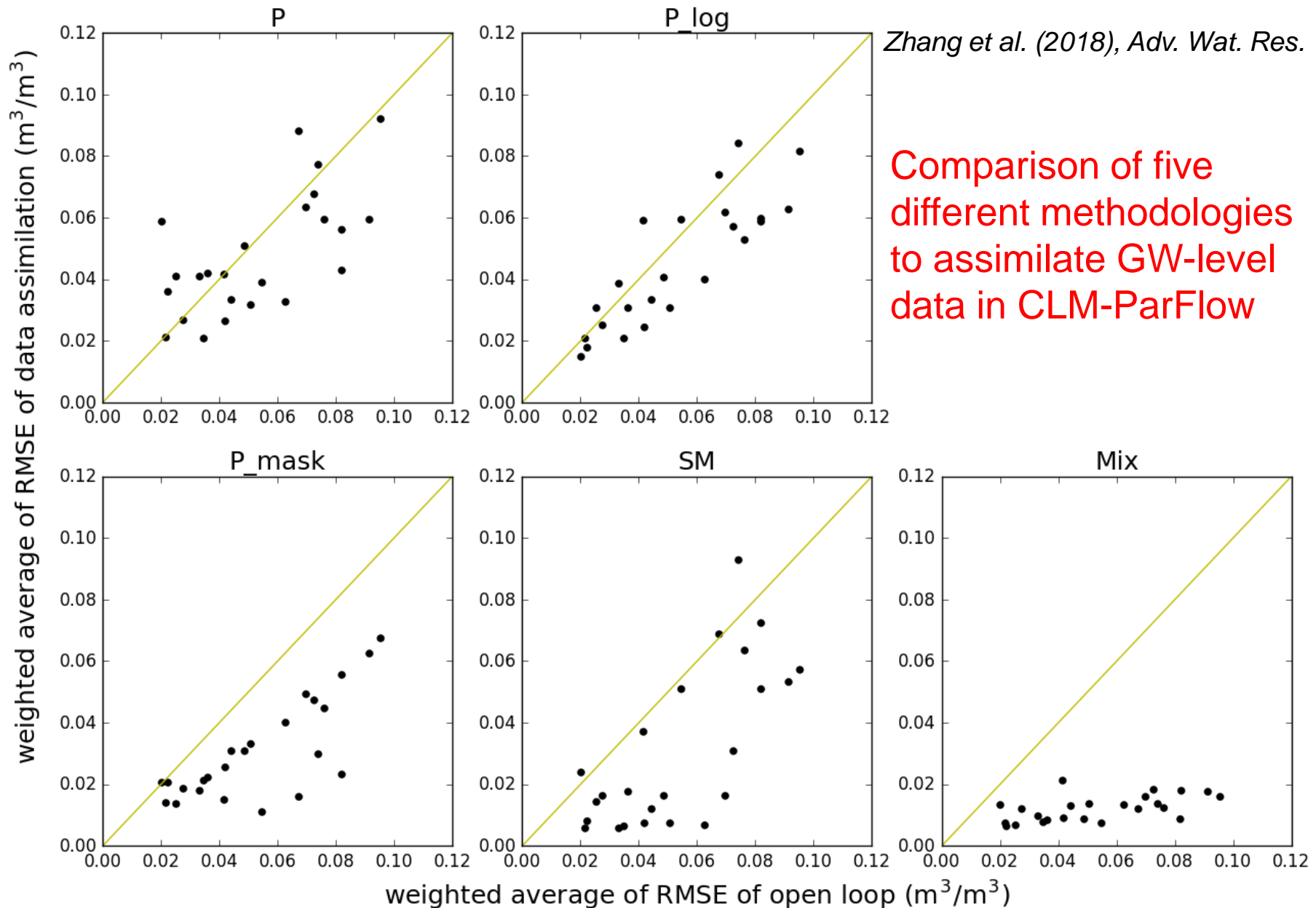
- strongly coupled = updating both saturated and unsaturated zone.
- weakly coupled = groundwater level data do not update unsaturated zone directly

- 2 x 2 grid cells (and 30 layers). Slope 1%.
- Spatially homogeneous parameters.
- Only $\log(K_{\text{sat}})$ uncertain $\sim N(0,1)$; 128 ensemble members.
- 100 years spin-up, 1 year assimilation.
- Daily assimilation of GWL (measurement error 0.01m).

Example results

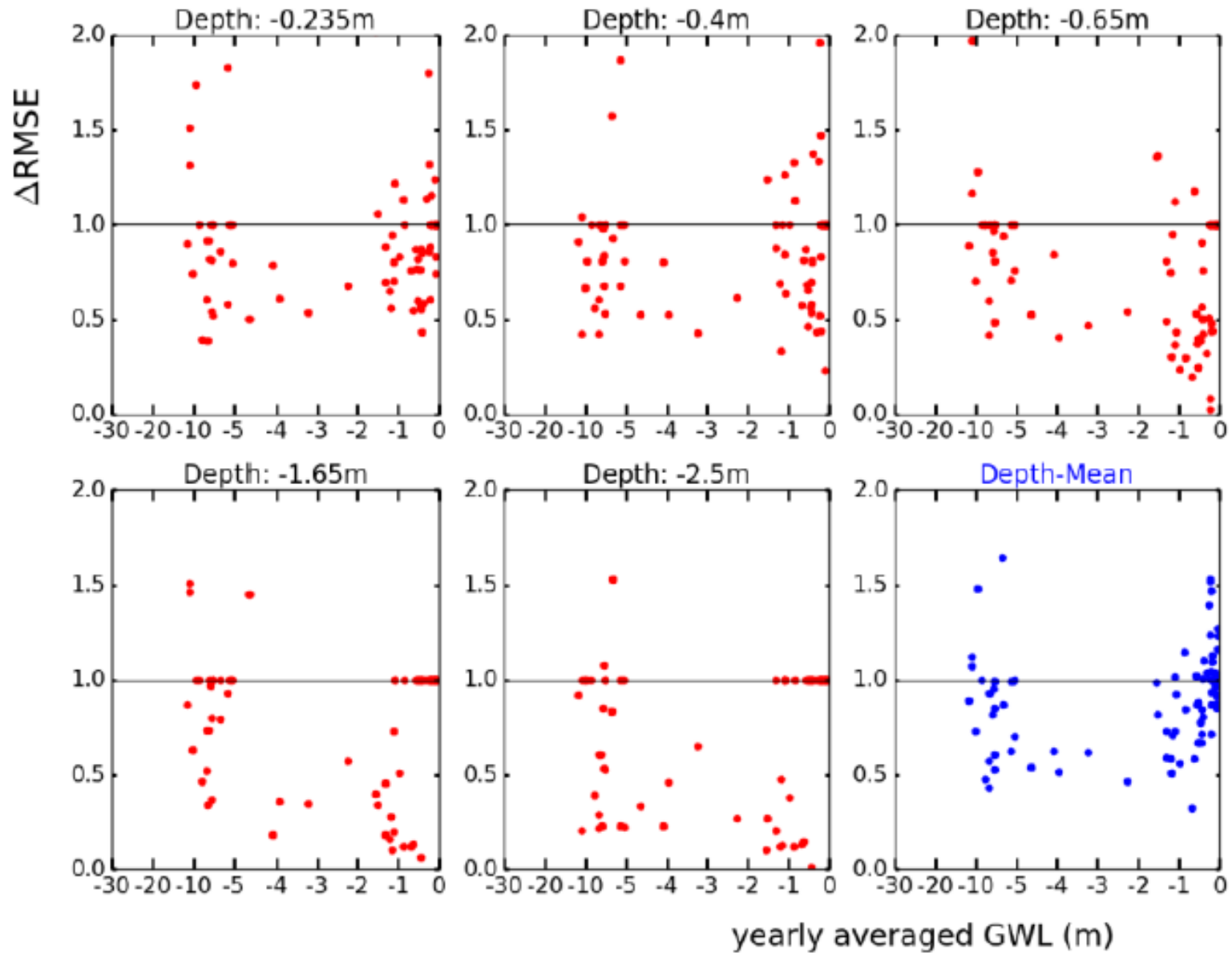
**Strongly skewed (non-Gaussian)
local pdf's during dry conditions
cause problems.**



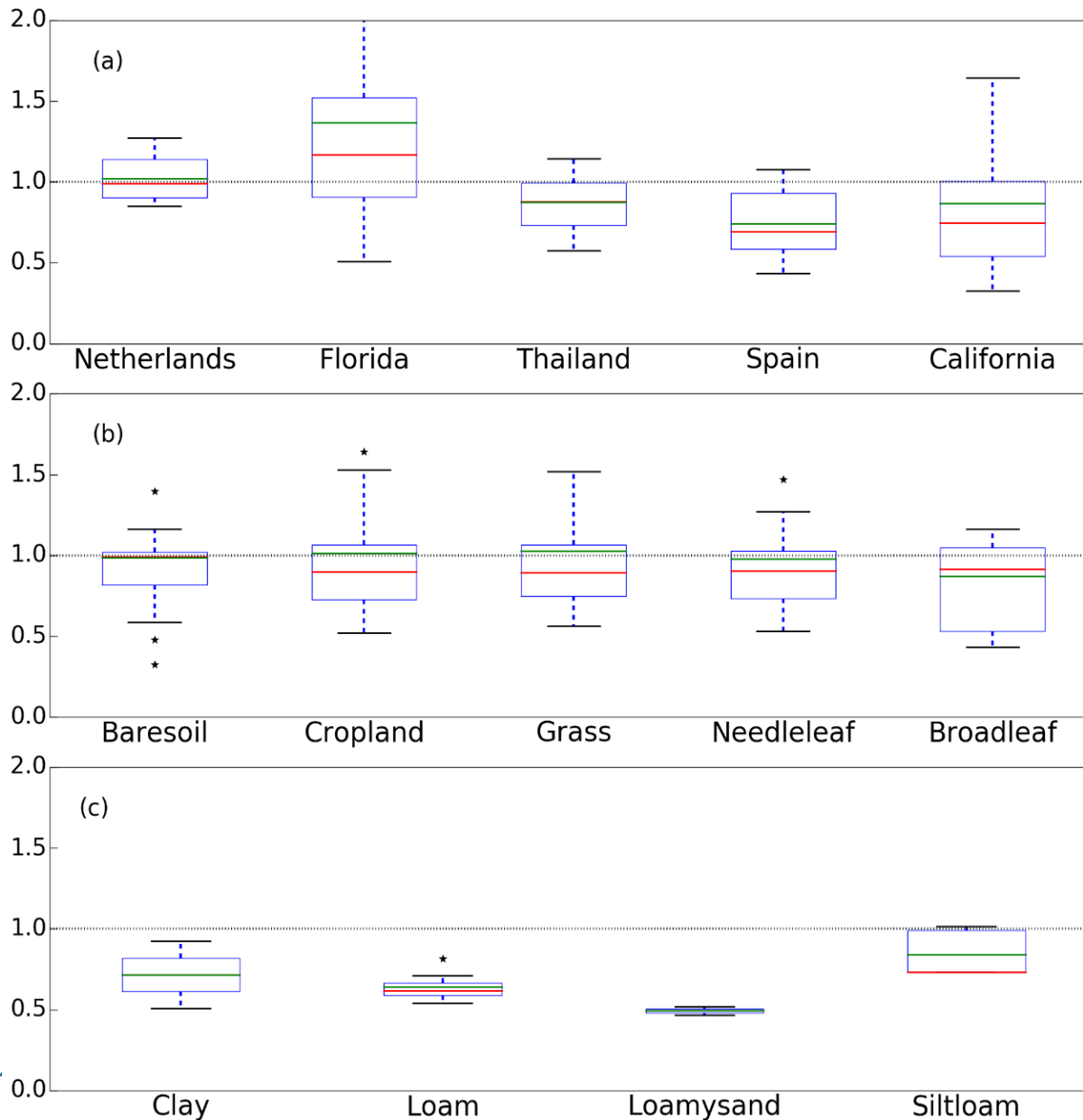


- Vertically heterogeneous K_{sat} , α and n parameters.
- K_{sat} , α and n uncertain and sampled from multi-normal distribution (transformed parameters).
- Precipitation uncertain: perturbed with multiplicative noise $U[0.5, 1.5]$.
- Performance evaluated for large number of synthetic experiments (100 cases: 4 soil types x 5 PFT's x 5 climate types).

RMSE Reduction vs. GWL



RMSE Reduction vs. Climate/PFT/Soil



green: mean

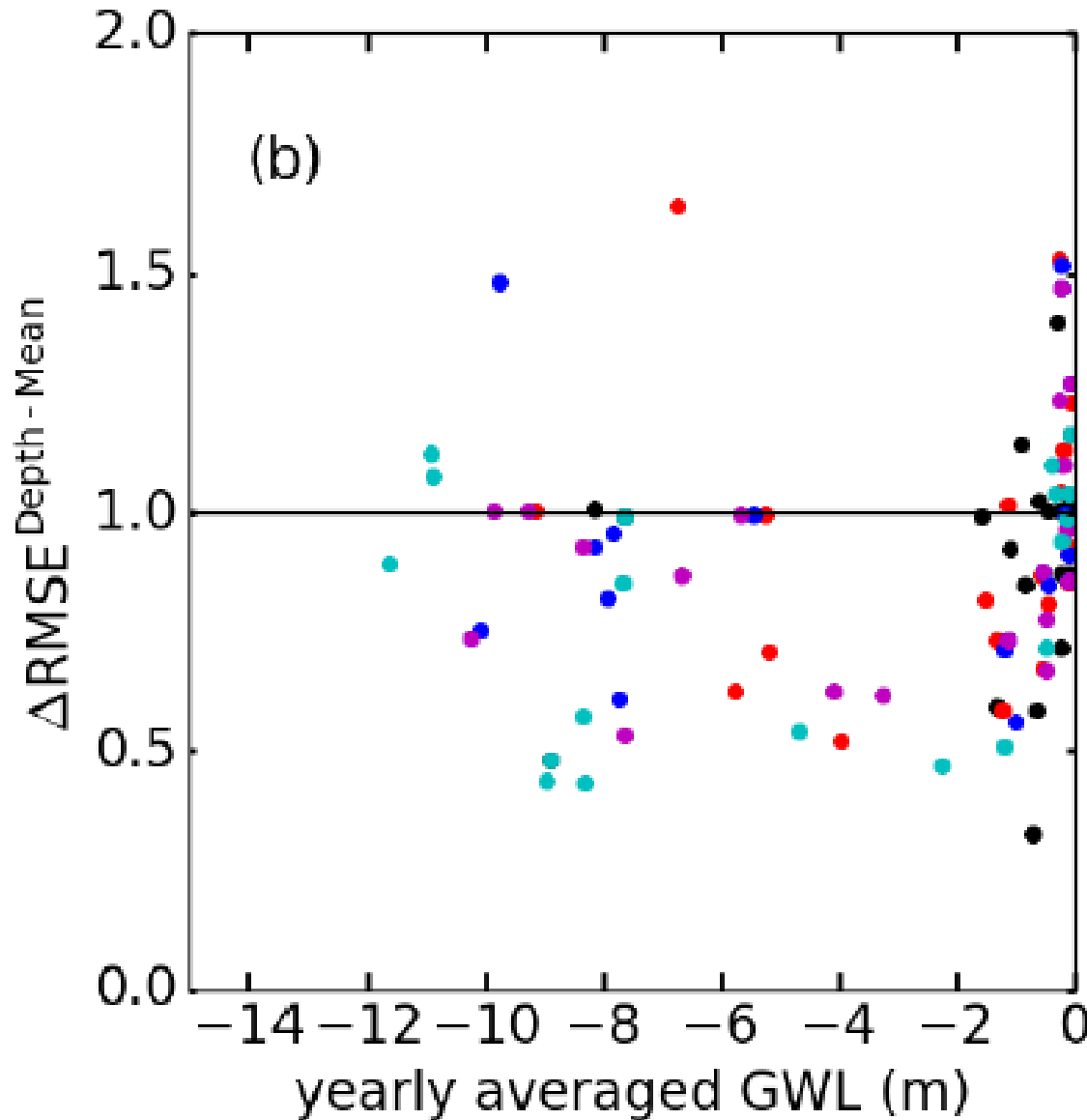
red: median

If GW-level is not very shallow or very deep, assimilation shows clear benefit

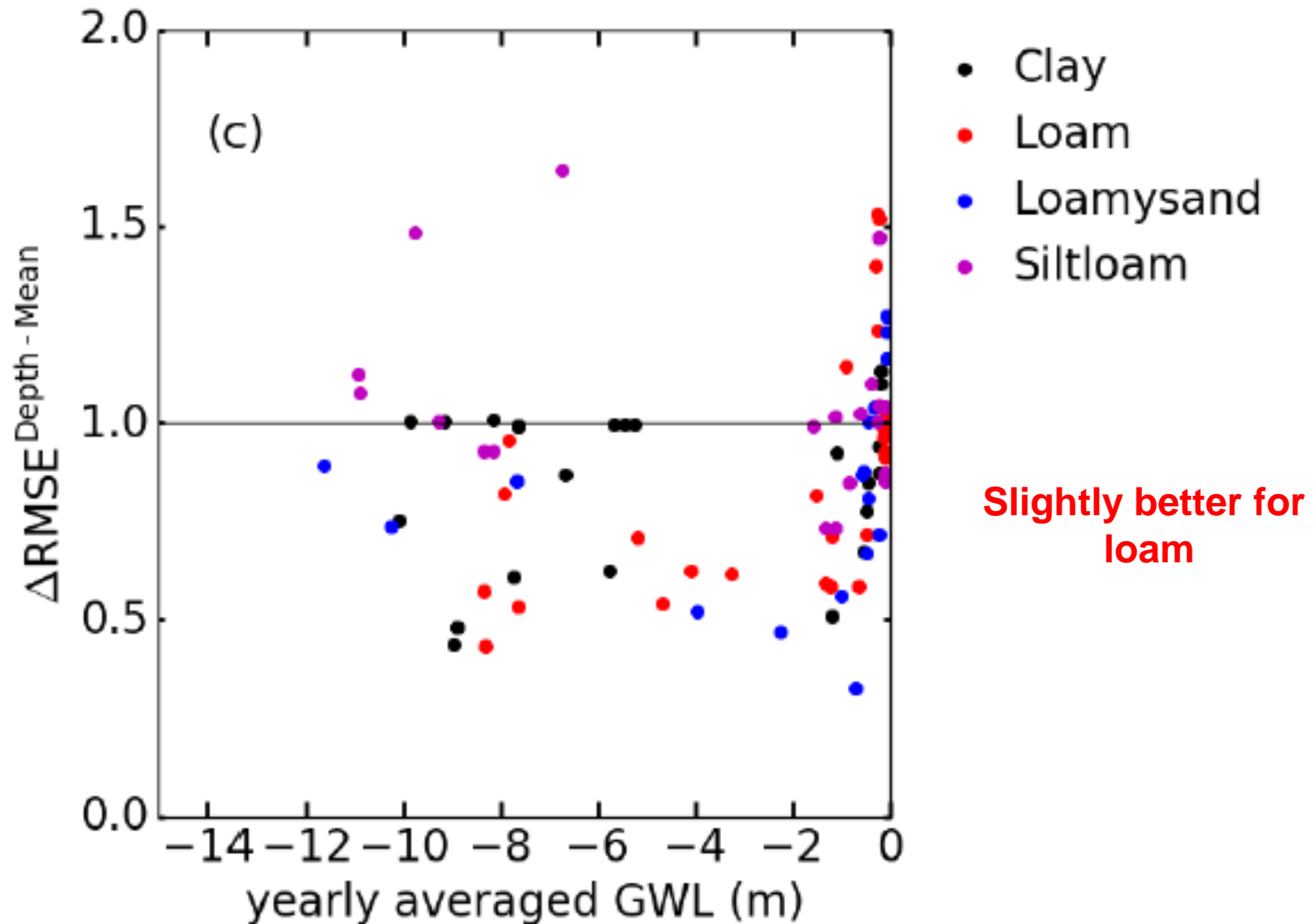
Better results for loam soils.

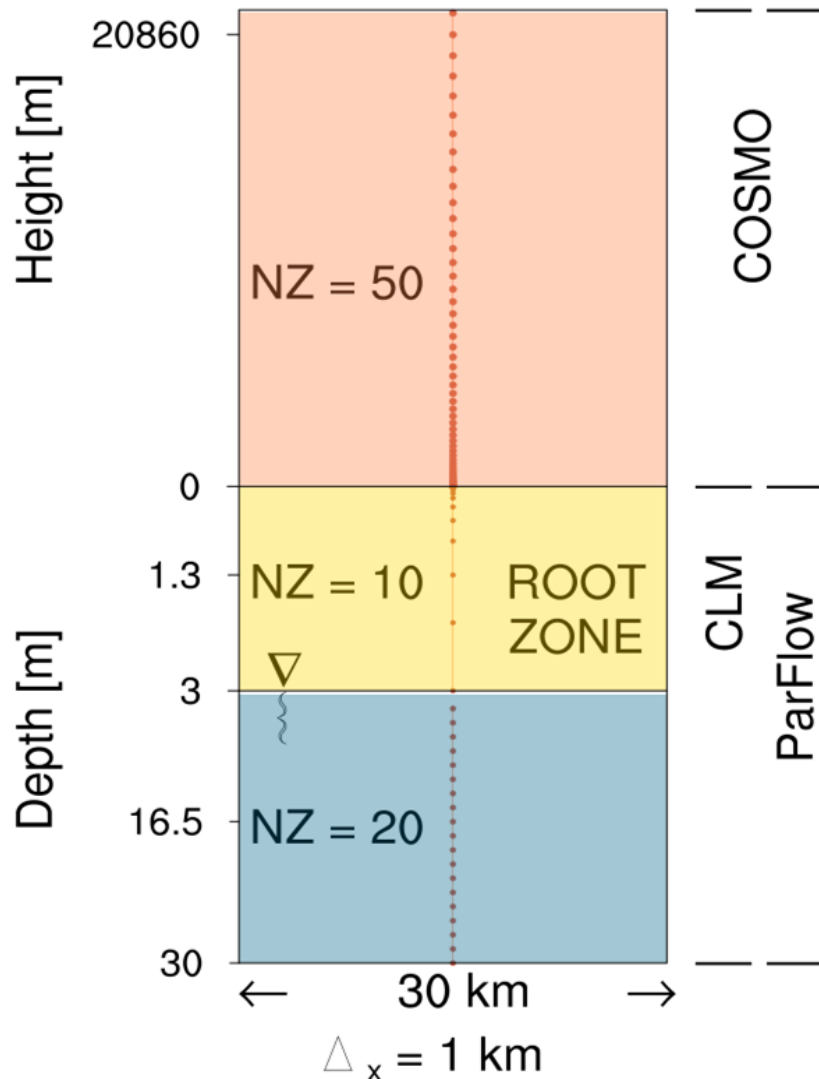
Better results for broadleaf trees.

RMSE Reduction vs. GWL (PFT's)



RMSE Reduction vs. GWL (soil types)

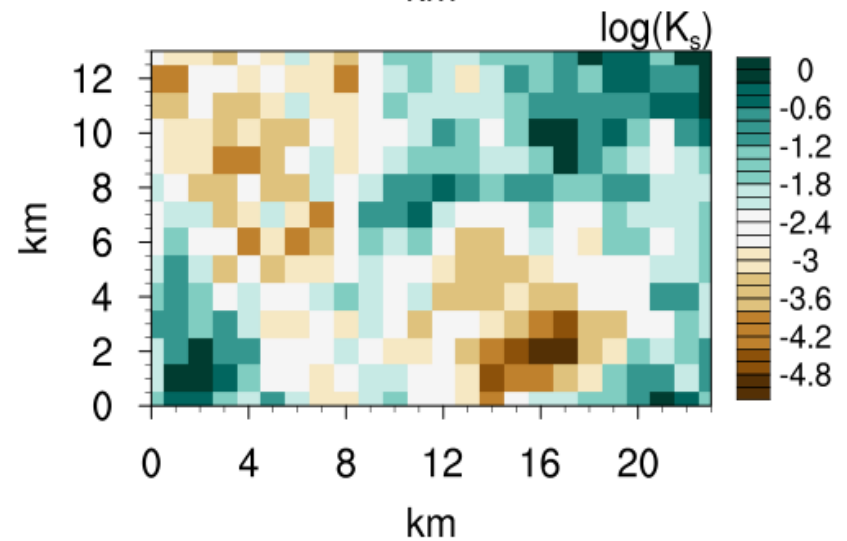
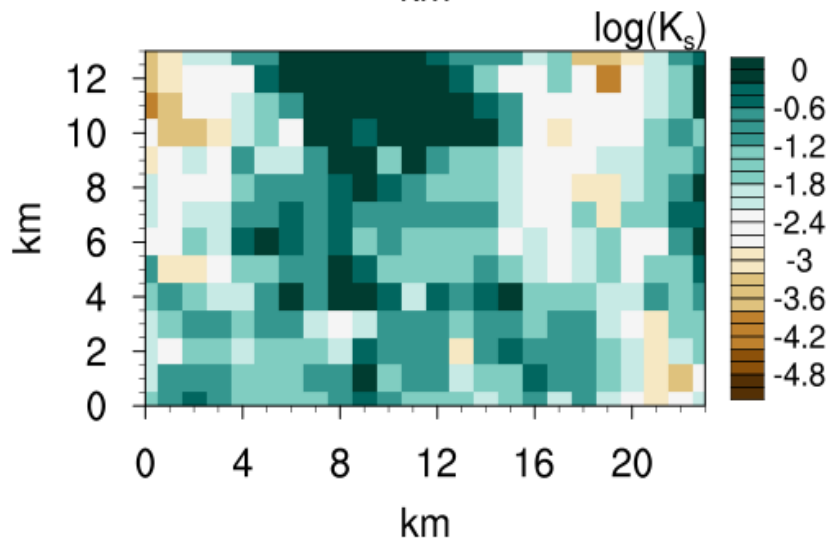
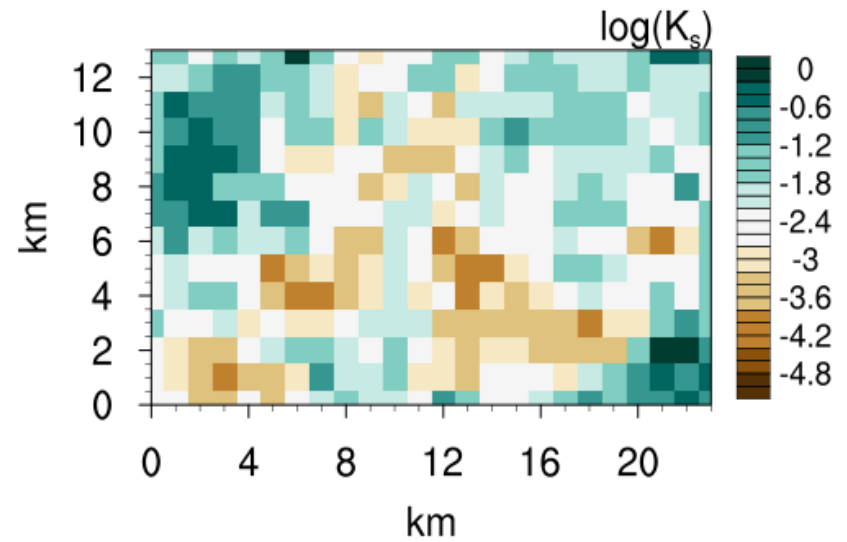
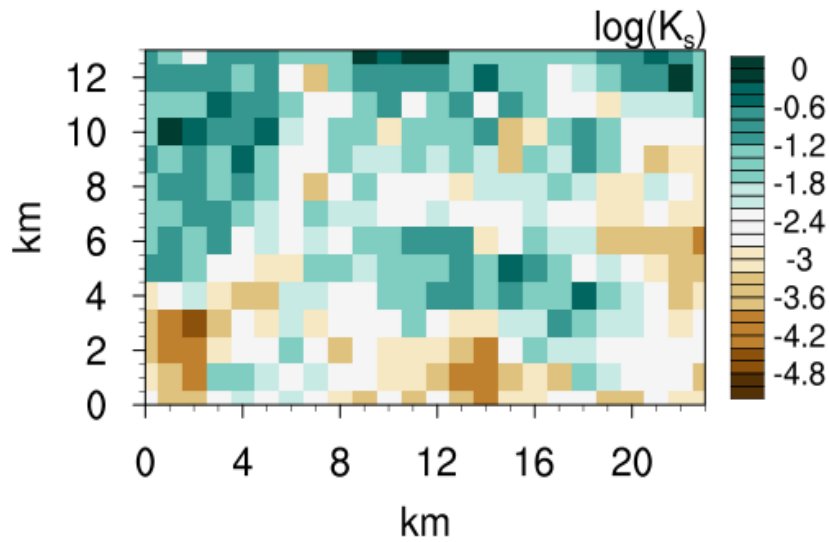




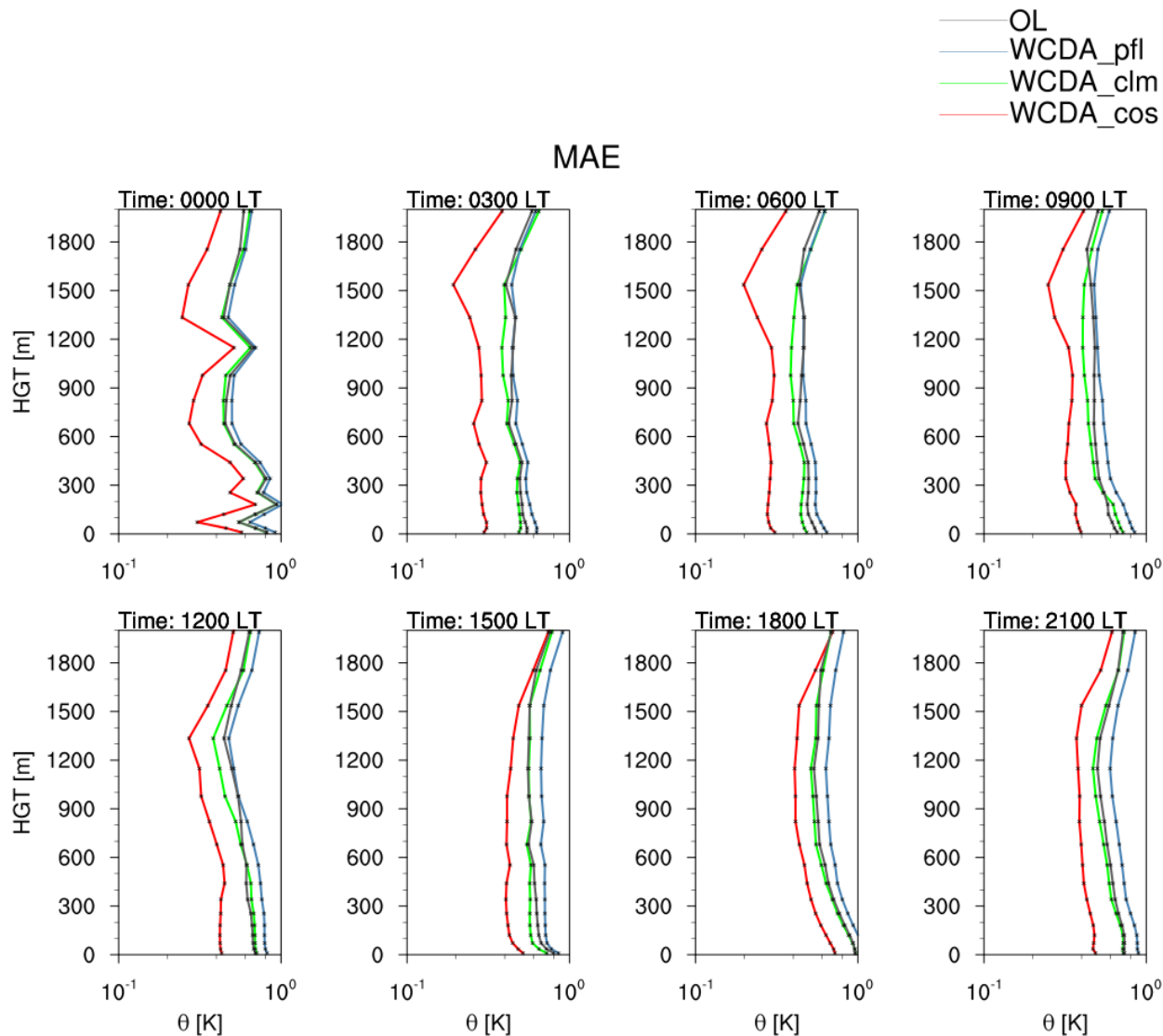
- Homogeneous land surface & subsurface.
- 30 x 20 km² and resolution of 1km.
- Atmosphere has 50 vertical layers, with 20m resolution near surface.
- Subsurface has 30 vertical layers stretching until 30m.
- Periodic lateral BCS /impermeable lower BCS,

- 100 days of spin-up (Feb 1- May 7, 2008).
- Initial ground and vegetation temperature 283 K.
- Initial groundwater table depth 3m, hydrostatic profile.
- External forcing by COSMO-DE reanalysis data.
- Other parameters deterministic.

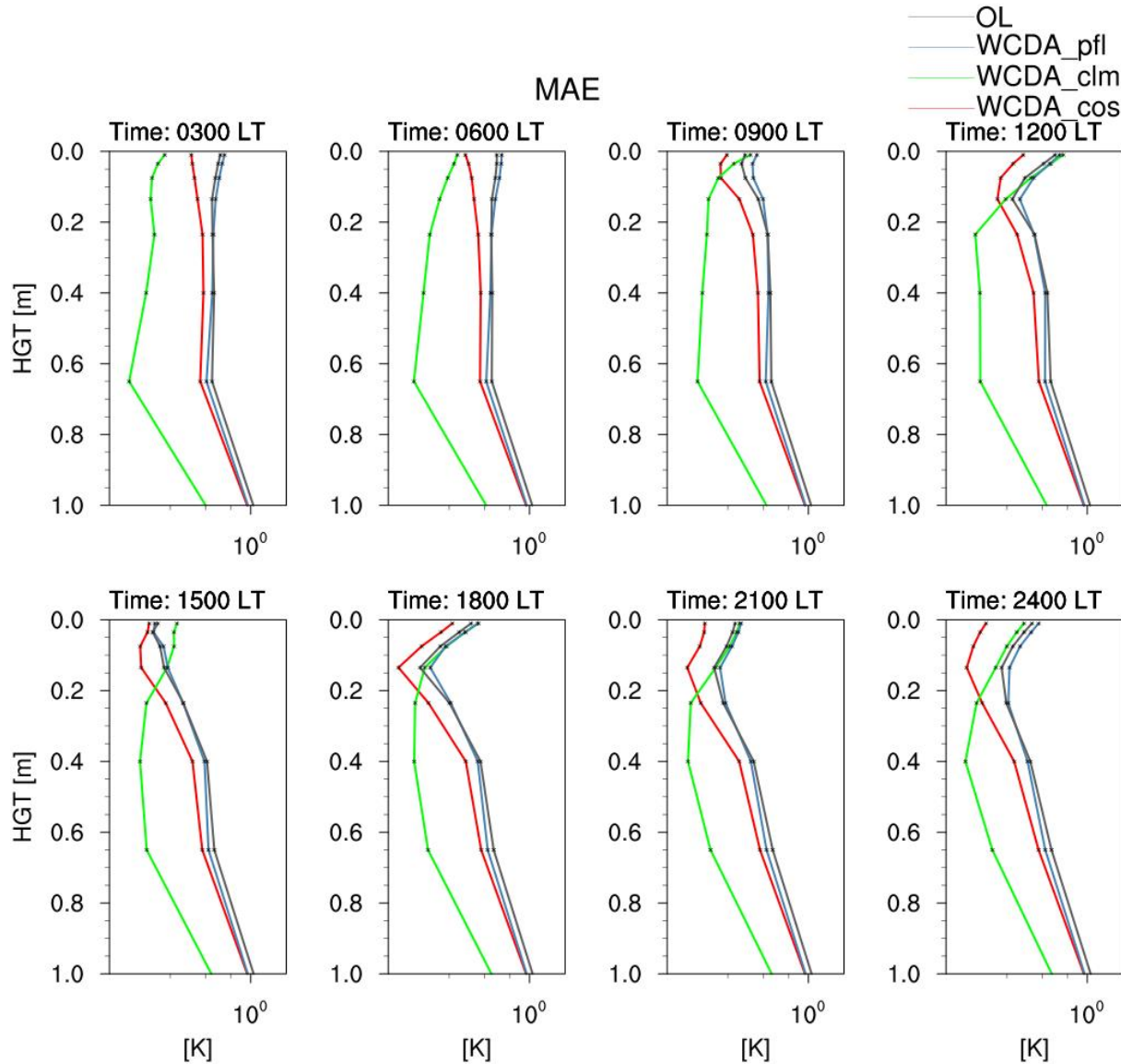
- 48 ensemble members.
- Spatially variable fields of saturated hydraulic conductivity.
- LAI, soil color, clay percentage, leaf carbon-nitrogen ratio randomly perturbed (but spatially constant).
- Turbulent mixing scale parameter.
- Other parameters deterministic.



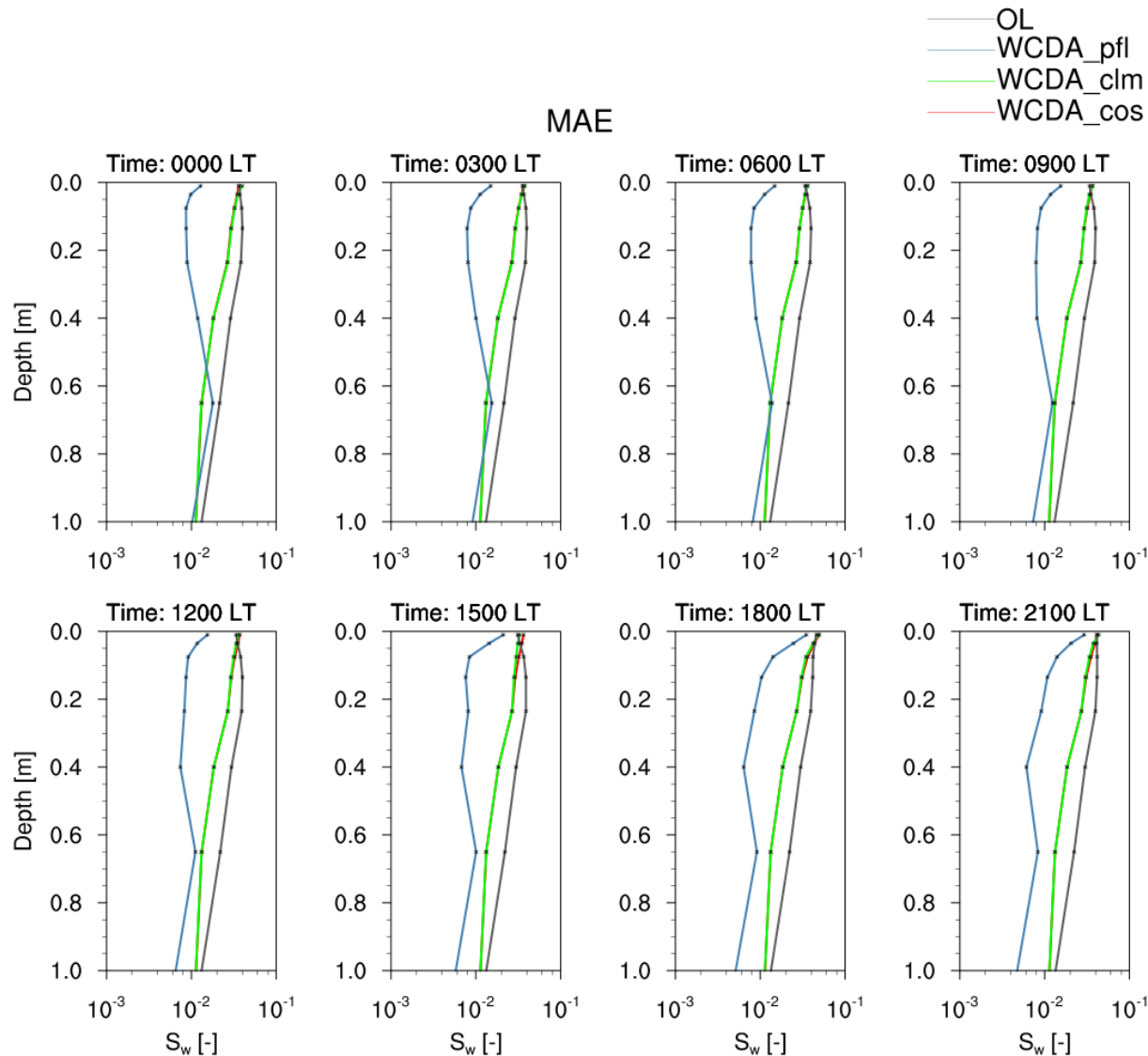
- Atmospheric DA: Atmospheric temperature at 10, 100, 200, 500, 1000, 3000 and 5000m.
- Land surface DA: Soil temperature at 2, 6, 10, 20, 30, 50, 80 cm depth.
- Subsurface DA: Soil moisture at 2, 6, 10, 20, 30, 50 and 80 cm depth.
- Observation variances: 0.60 K², 0.10 K² and 0.005.
- Daily assimilation for 10 locations in space.



- Only atmospheric DA improves characterization of boundary layer potential temperature.



- Assimilation of soil temperature improves soil temperature, but less for upper 20 cm.
- Assimilation of atmospheric temperature improves soil temperature for upper layers.



- Assimilation of soil moisture improves soil moisture.
- Assimilation of soil temperature has also an impact on improving soil moisture characterization.

- TerrSysMP-PDAF: DA-framework optimally suited for HPC.
- Cosmic ray probe data very promising for land surface DA.
- GW-level data have high potential to improve root zone soil moisture characterization (under certain conditions) using fully coupled DA.
- Weakly coupled atmospheric-land surface- subsurface DA tested with different impacts of different observations. New test for drier conditions.
- DA with fully coupled model does not allow for compute intensive alternative DA-methods ((iterative) smoothers, PF).
- Current work: extension of coupled DA. See also poster by Natascha Brandhorst.

Thanks for your attention!