

Super-resolution data assimilation (SRDA)

Sébastien Barthélémy^{1,2}, Julien Brajard^{3,4}, Laurent Bertino³, François Counillon^{1,2,3}
June 8, 2021

¹University of Bergen,

²Bjerknes Centre for Climate Research,

³Nansen Environmental and Remote Sensing Centre,

⁴Sorbonne Université

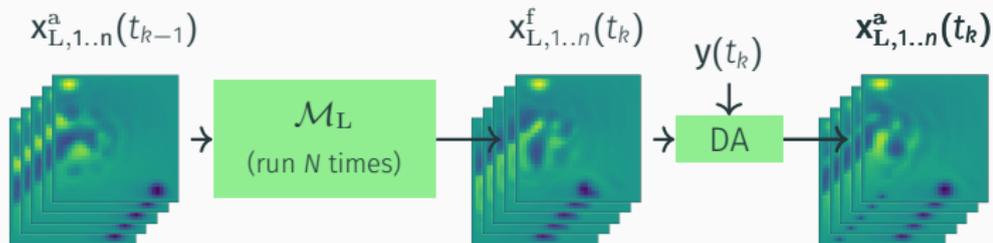


1. Motivation and method
2. Model used
3. Training and set-up of the neural network
4. Numerical results
 - Downscaling performance
 - Super-resolution data assimilation performance
 - Time performance
5. Conclusion and perspectives

1. Motivation and method
2. Model used
3. Training and set-up of the neural network
4. Numerical results
5. Conclusion and perspectives

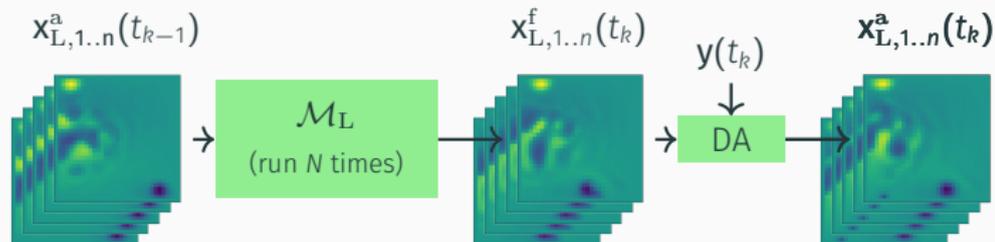
Motivation and method

EnKF - Low Resolution (EnKF-LR)



Motivation and method

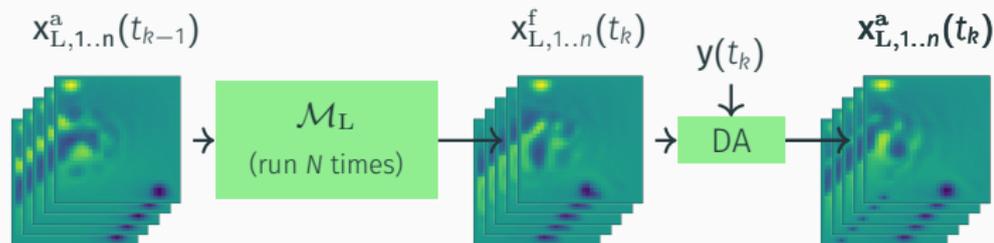
EnKF - Low Resolution (EnKF-LR)



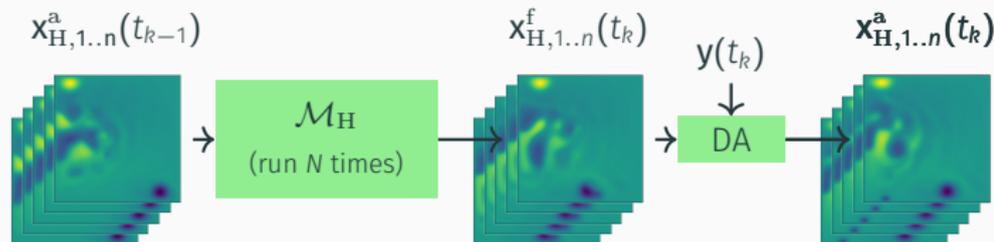
	EnKF-LR		
Observation error	High ✓		
High-resolution processes	Poorly resolved ✓		
Computational cost	Low ✓		
Ensemble size	Big ✓		

Motivation and method

EnKF - Low Resolution (EnKF-LR)



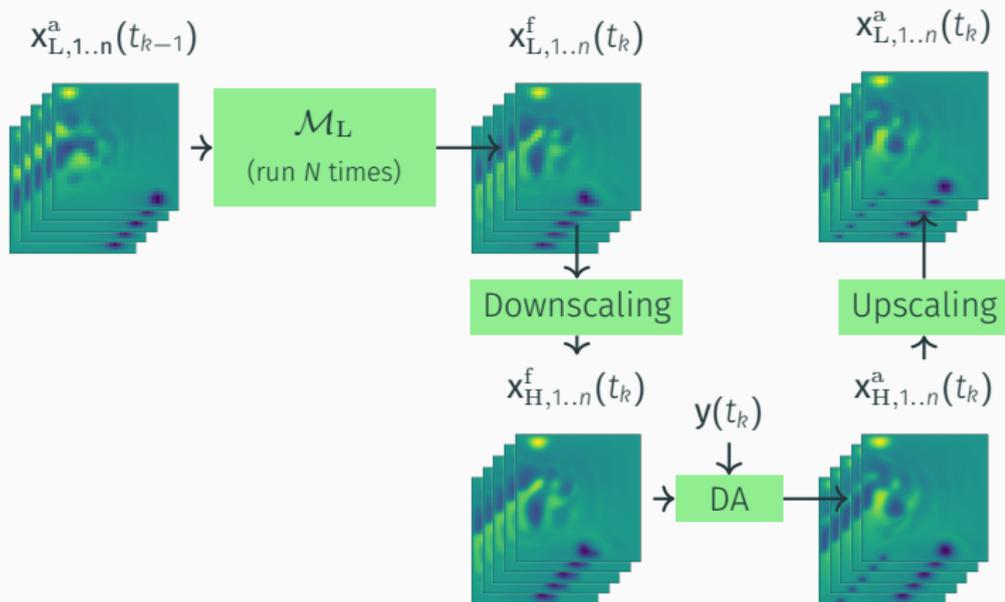
EnKF - High Resolution (EnKF-HR)



	EnKF-LR	EnKF-HR	
Observation error	High ✓	Low ✓	
High-resolution processes	Poorly resolved ✓	Resolved ✓	
Computational cost	Low ✓	High ✓	
Ensemble size	Big ✓	Small ✓	

Motivation and method

EnKF - Super-resolution (SRDA)



	EnKF-LR	EnKF-HR	SRDA
Observation error	High ✓	Low ✓	Low ✓
High-resolution processes	Poorly resolved ✓	Resolved ✓	Emulated ✓
Computational cost	Low ✓	High ✓	Low ✓
Ensemble size	Big ✓	Small ✓	Big ✓

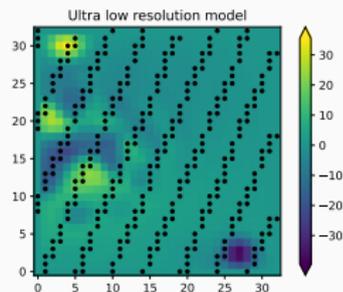
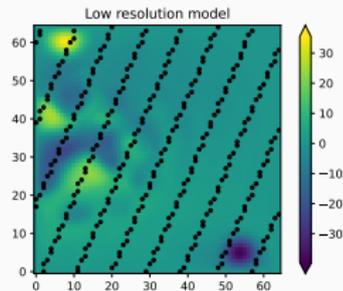
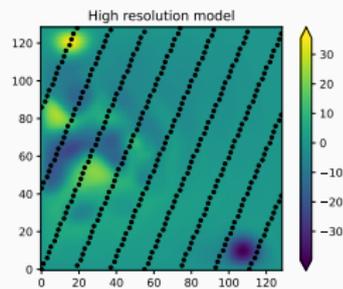
1. Motivation and method
2. Model used
3. Training and set-up of the neural network
4. Numerical results
5. Conclusion and perspectives

► Model used: Quasi-geostrophic model[1]

Configuration	State size	Cost
HR	129×129	C
LR	65×65	$C/8$
ULR	33×33	$C/64$

► Observations:

- True value perturbed by a gaussian noise of standard deviation 2
- available every $\Delta t = 12$
- positioned along simulated satellite tracks (black dots on the figures)



- ▶ Model used: Quasi-geostrophic model[1]

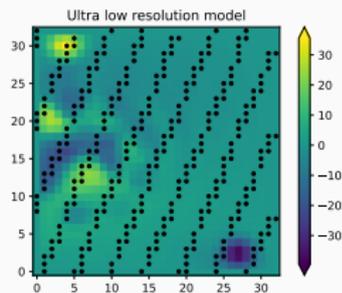
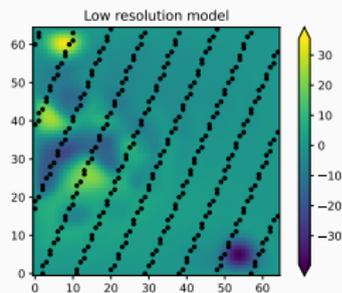
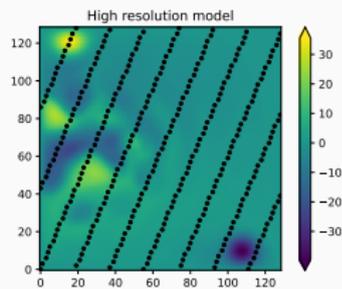
Configuration	State size	Cost
HR	129×129	C
LR	65×65	$C/8$
ULR	33×33	$C/64$

- ▶ Observations:

- True value perturbed by a gaussian noise of standard deviation 2
- available every $\Delta t = 12$
- positioned along simulated satellite tracks (black dots on the figures)

Downscaling operator?

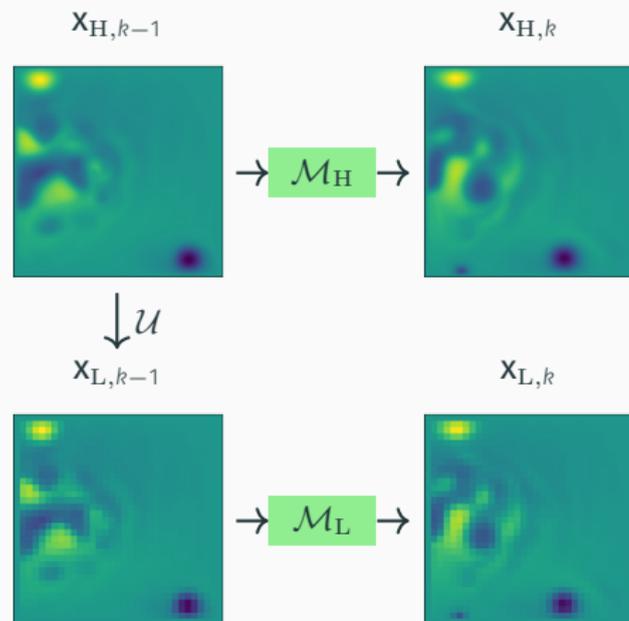
- ▶ A simple cubic spline interpolation
- ▶ A neural network



1. Motivation and method
2. Model used
3. Training and set-up of the neural network
4. Numerical results
5. Conclusion and perspectives

Training set for the neural network

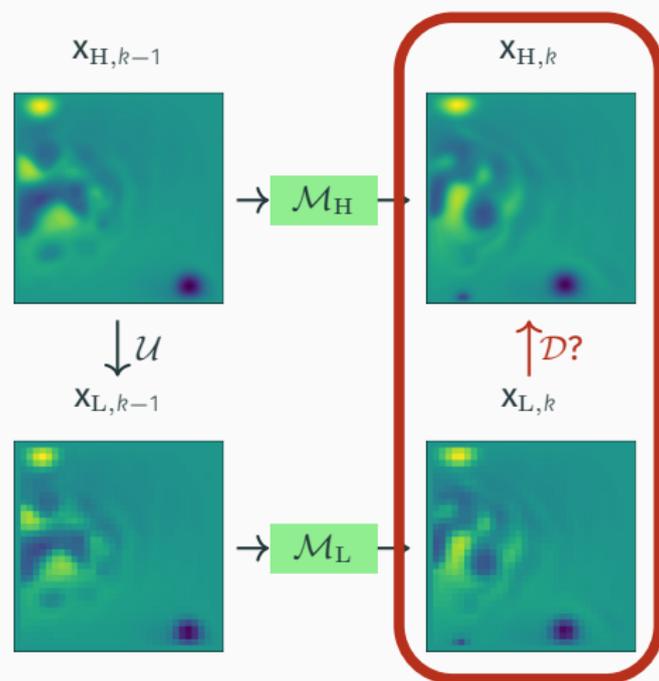
- ▶ Running one simulation of the HR model.
- ▶ Computing a dataset of matching pairs between a (U)LR and a HR state:
 $(\mathbf{x}_{L,k}, \mathbf{x}_{H,k})$



\mathcal{U} : Upscaling (subsampling operator)

Training set for the neural network

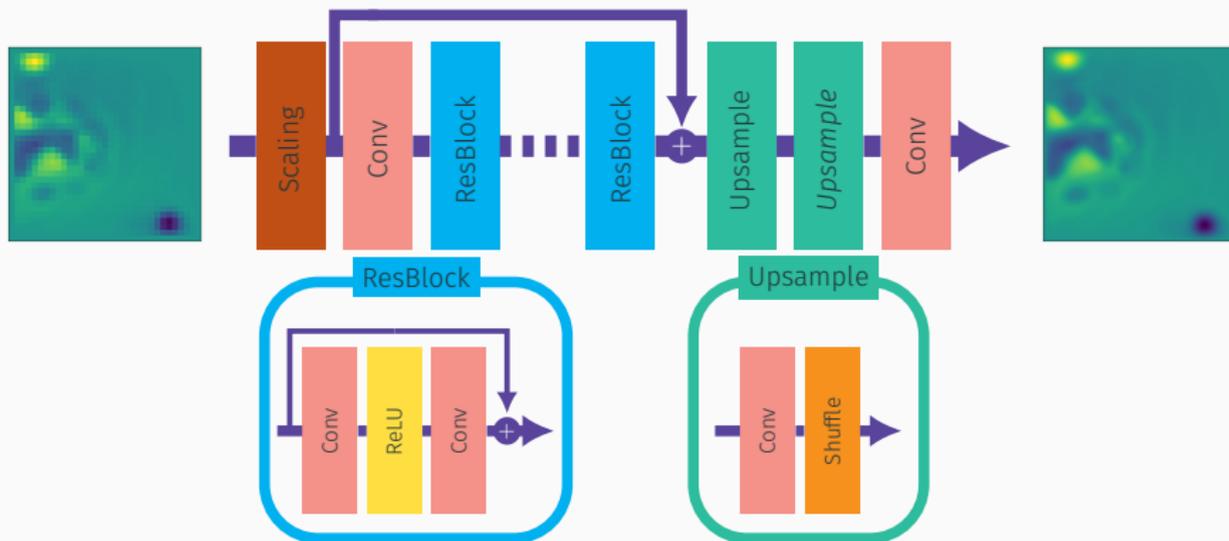
- ▶ Running one simulation of the HR model.
- ▶ Computing a dataset of matching pairs between a (U)LR and a HR state:
 $(\mathbf{x}_{L,k}, \mathbf{x}_{H,k})$



\mathcal{U} : Upscaling (subsampling operator)
 \mathcal{D} : Downscaling (Neural network)

- ▶ Size of the dataset: 10,000
- ▶ 8000 for training / 2000 for validation

Setup of the neural network



Architecture of the enhanced deep super-resolution network (EDSR) [2]

Minimize the mean absolute error (MAE):

$$L(\mathbf{w}) = \sum_{k=1}^K \sum_{i=1}^S |\mathcal{D}(\mathbf{x}_{L,k})_i - x_{H,k,i}|,$$

- i : the pixel index
- S : size of the state (129×129)
- K : size of the training set ($K=8000$)
- \mathbf{w} : weights of the neural network ($\sim 20,000$)

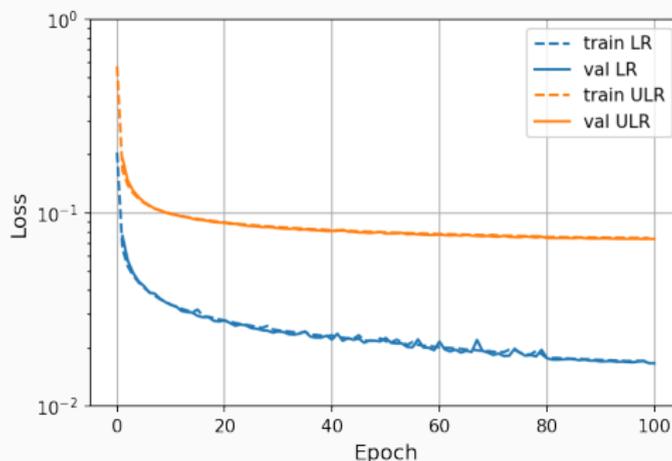
Training of the neural network

Minimize the mean absolute error (MAE):

$$L(\mathbf{w}) = \sum_{k=1}^K \sum_{i=1}^S |\mathcal{D}(\mathbf{x}_{L,k})_i - x_{H,k,i}|,$$

- i : the pixel index
- S : size of the state (129×129)
- K : size of the training set ($K=8000$)
- \mathbf{w} : weights of the neural network ($\sim 20,000$)

Training curve

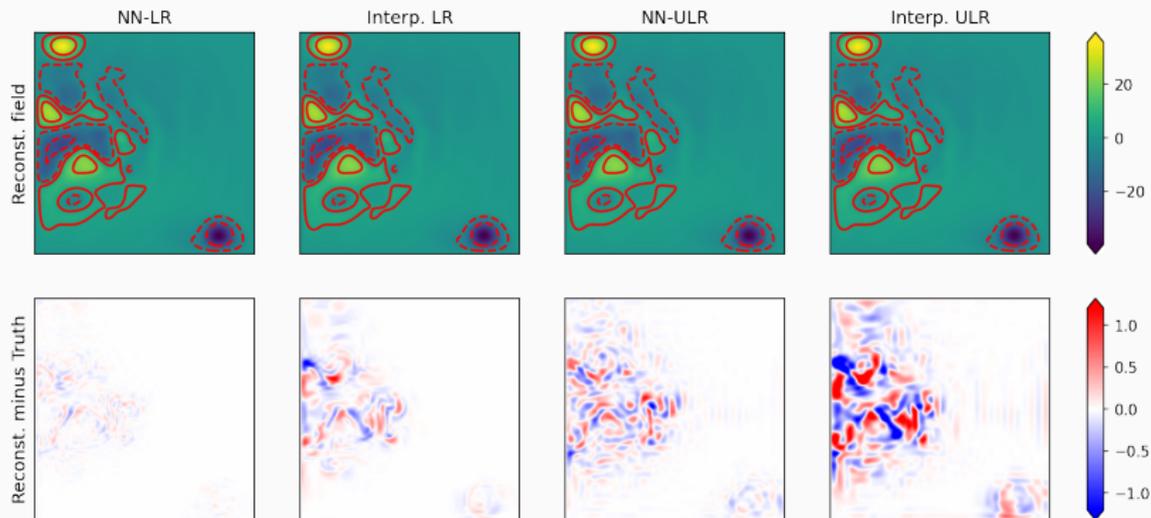


1. Motivation and method
2. Model used
3. Training and set-up of the neural network
4. Numerical results
5. Conclusion and perspectives

1. Motivation and method
2. Model used
3. Training and set-up of the neural network
4. Numerical results
 - Downscaling performance
 - Super-resolution data assimilation performance
 - Time performance
5. Conclusion and perspectives

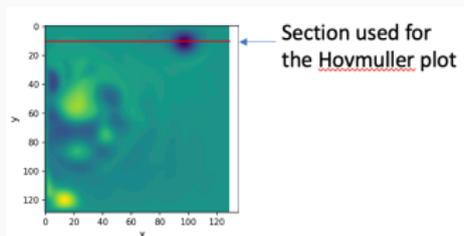
Downscaling performance (1)

► Illustration with one sample

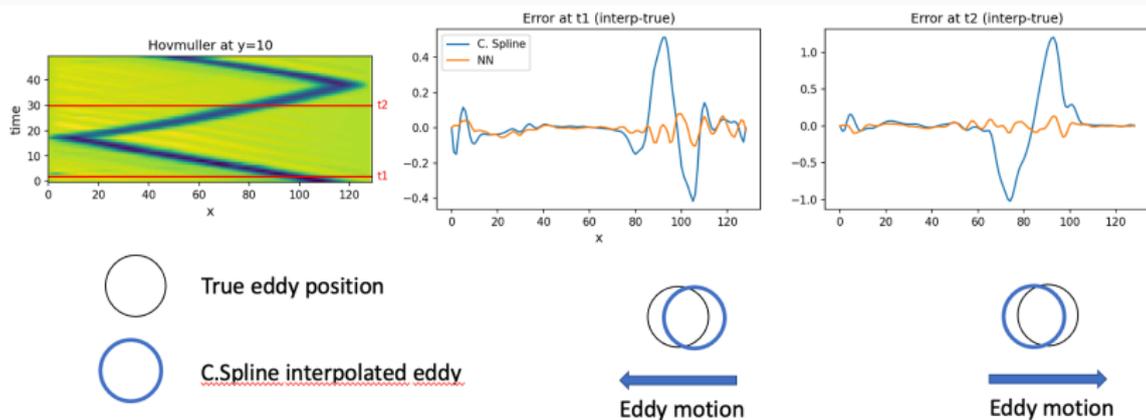
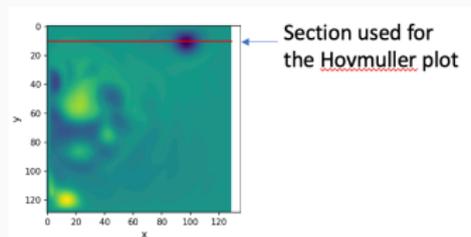


red lines: Contour of the true HR state

Model error correction

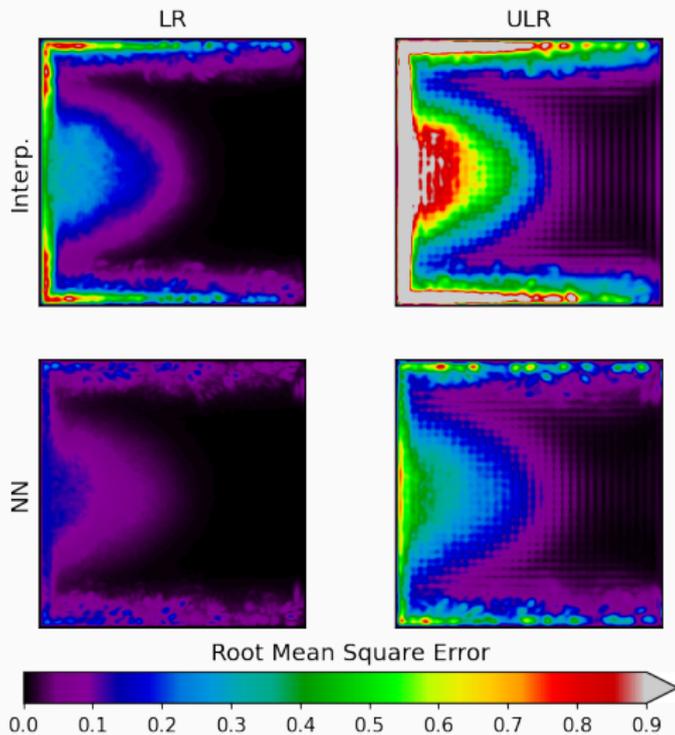


Model error correction



Downscaling performance (2)

- ▶ Score on the validation dataset

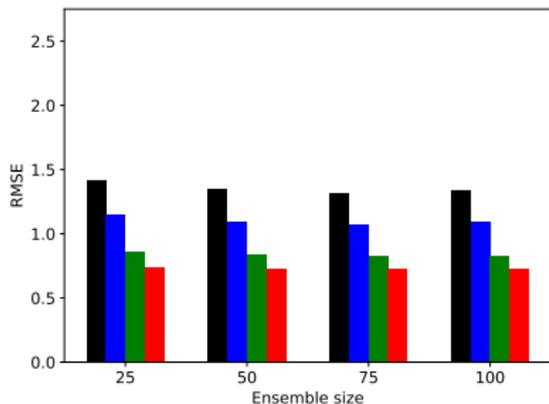


1. Motivation and method
2. Model used
3. Training and set-up of the neural network
4. Numerical results
 - Downscaling performance
 - Super-resolution data assimilation performance
 - Time performance
5. Conclusion and perspectives

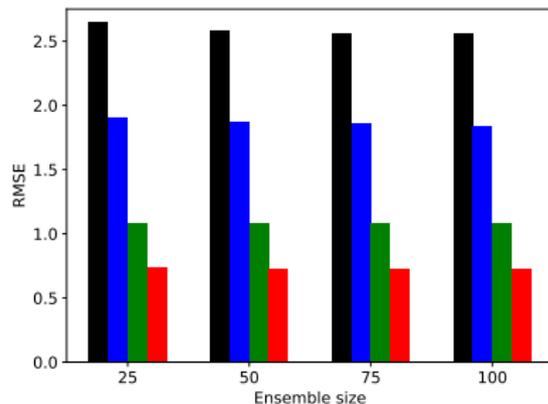
Super-resolution data assimilation performance

- ▶ Twin experiments with 500 assimilation cycles
- ▶ Sensitivity analysis to find the optimal localisation and inflation

Low-resolution error



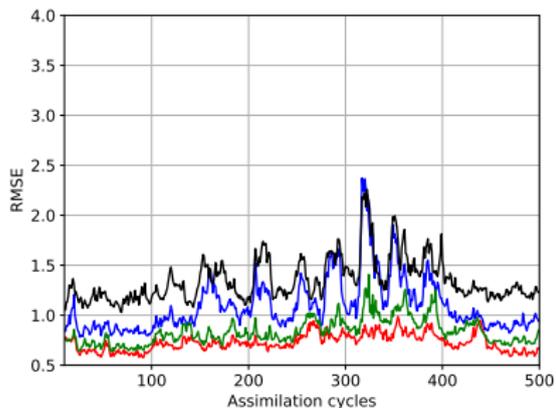
Ultra Low-resolution error



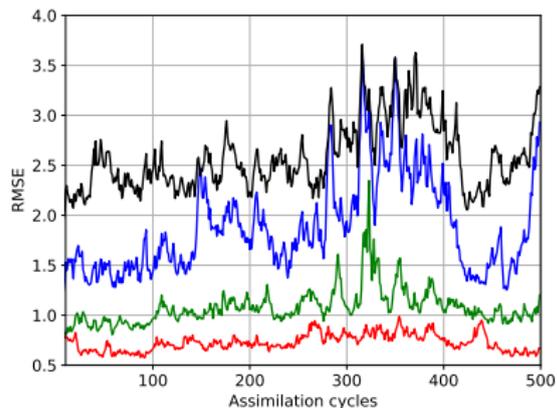
- DA in low-resolution
- SRDA with cubic spline interpolation
- SRDA with NN downscaling
- DA in high-resolution

Super-resolution data assimilation performance

Low-resolution error (in time)



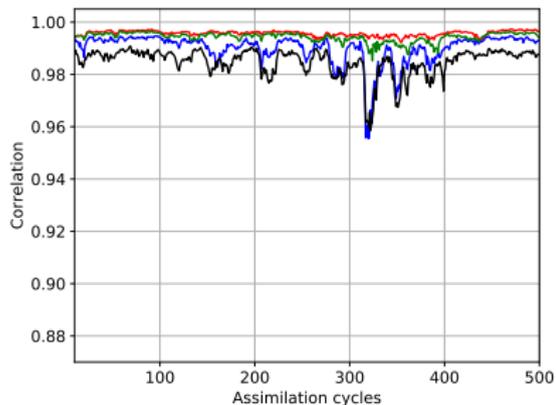
Ultra Low-resolution error (in time)



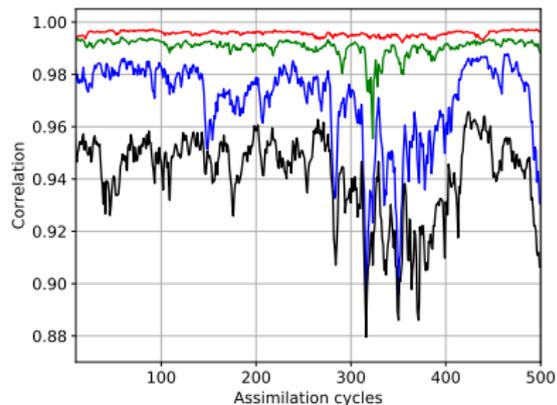
- DA in low-resolution
- SRDA with cubic spline interpolation
- SRDA with NN downscaling
- DA in high-resolution

Super-resolution data assimilation performance

Low-resolution correlation



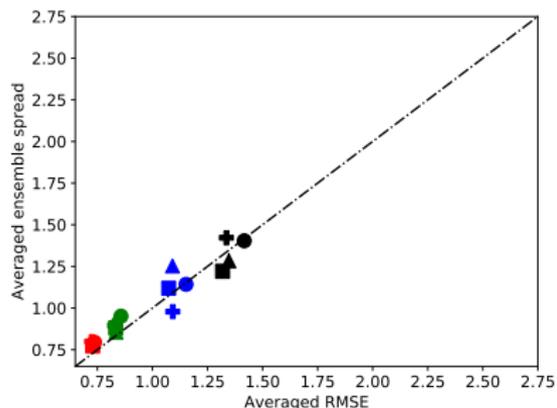
Ultra Low-resolution correlation



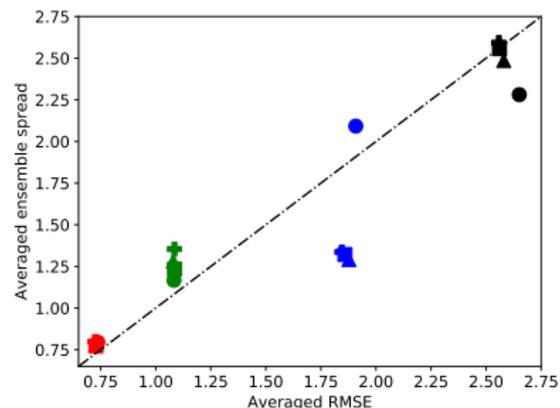
- DA in low-resolution
- SRDA with cubic spline interpolation
- SRDA with NN downscaling
- DA in high-resolution

Spread/error of the ensemble

Low-resolution spread/error



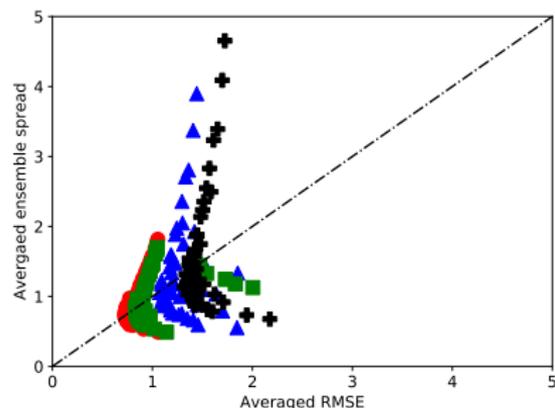
Ultra Low-resolution spread/error



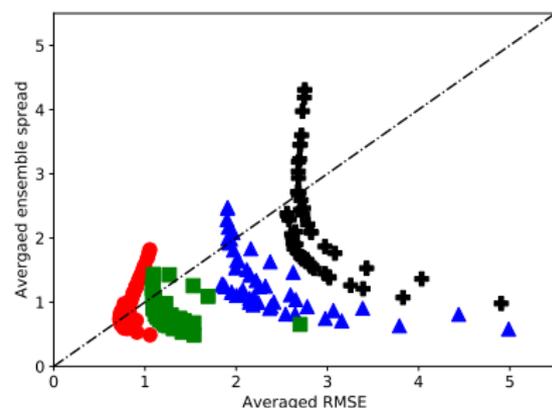
- DA in low-resolution
- SRDA with cubic spline interpolation
- SRDA with NN downscaling
- DA in high-resolution

Spread/error of the ensemble

Low-resolution spread/error



Ultra Low-resolution spread/error



- DA in low-resolution
- SRDA with cubic spline interpolation
- SRDA with NN downscaling
- DA in high-resolution

1. Motivation and method
2. Model used
3. Training and set-up of the neural network
4. Numerical results
 - Downscaling performance
 - Super-resolution data assimilation performance
 - Time performance
5. Conclusion and perspectives

Time performance

- ▶ Running 25 members sequentially
- ▶ Same inflation and localization coefficients

Time s.	SRDA-cubic		SRDA-NN		EnKF		
	LR	ULR	LR	ULR	HR	LR	ULR
Integration	192	84	188	82	1144	168	62
Downscaling	13	11	34	38	-	-	-
Assimilation	313	298	304	294	284	76	22
Upscaling	14	12	13	12	-	-	-
Total	532	405	539	426	1428	244	84

Time s.	LR	ULR
Training	494	531

1. Motivation and method
2. Model used
3. Training and set-up of the neural network
4. Numerical results
5. Conclusion and perspectives

Main results

- ▶ SRDA performs a DA close to the High-resolution model, accuracy for the cost of a low-resolution model,
- ▶ The NN can correct systematic differences of eddy propagation caused by low resolution,
- ▶ The results are stable in time,
- ▶ The spread is well represented.

Main results

- ▶ SRDA performs a DA close to the High-resolution model, accuracy for the cost of a low-resolution model,
- ▶ The NN can correct systematic differences of eddy propagation caused by low resolution,
- ▶ The results are stable in time,
- ▶ The spread is well represented.

Perspectives

- ▶ Application to a more realistic (multivariate) model,
- ▶ Application only to local regions of the domain,
- ▶ Use NN-downscaling for the initialization of forecasts.



Pavel Sakov and Peter R. Oke.

A deterministic formulation of the ensemble Kalman filter: An alternative to ensemble square root filters.

Tellus, Series A: Dynamic Meteorology and Oceanography, 60 A(2):361–371, 2008.

doi:10.1111/j.1600-0870.2007.00299.x.



Bee Lim, Sanghyun Son, Heewon Kim, Seungjun Nah, and Kyoung Mu Lee.

Enhanced deep residual networks for single image super-resolution.

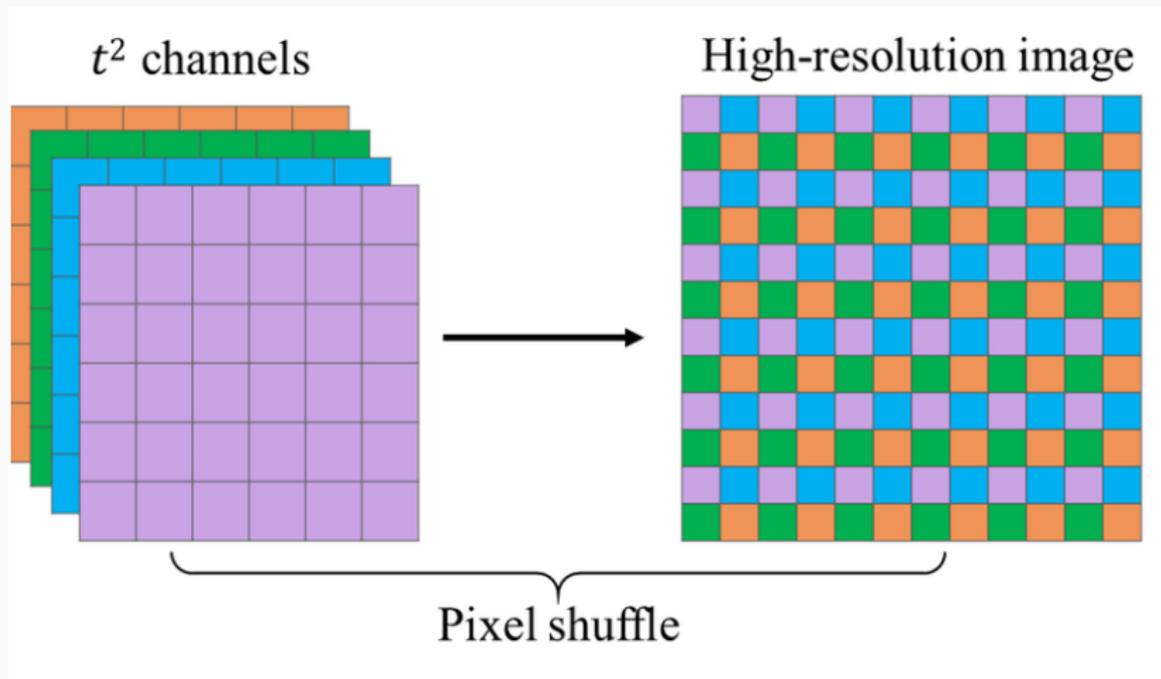
In Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition (CVPR) Workshops, July 2017.

sebastien.barthelemy@uib.no – julien.brajard@nersc.no

Acknowledgement:

NFR project SFE(#2700733)

The shuffle operator



Qin, Mengjiao, et al. "Remote Sensing Single-Image Resolution Improvement Using A Deep Gradient-Aware Network with Image-Specific Enhancement." *Remote Sensing* 12.5 (2020): 758.